

**MULTI-SITE COOPERATIVE AGREEMENT  
w/SUPPORTING DOCUMENTS**



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IX  
75 Hawthorne Street  
San Francisco, CA 94105

JUN 20 2001

Home Ellis, SFD-7

SFUND RECORDS CTR  
2166318

COPY

Dorothy Rice  
Deputy Director  
CA Department of Toxic Substances Control  
P.O. Box 806  
Sacramento, CA 95812

Re: V-00940408-0  
SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA)

Dear Ms. Rice:

The United States Environmental Protection Agency (EPA) is pleased to approve your application for Federal assistance and offer financial support for the program described in the enclosed Agreement. You may refer to this Agreement for more detailed information regarding this award.

This Agreement is subject to Federal Regulations which have previously been furnished to you. The enclosed Agreement contains conditions which should be particularly noted prior to your acceptance. **If you wish to accept this offer, please sign and date both originals of the enclosed Agreement and return one original to the Grants Management Office, PMD-7, within three weeks of receipt.** A copy of this Agreement is being forwarded to your staff as noted below.

This Agreement identifies the EPA Project Officer and EPA Grant Specialist who will be working with your staff. If you or your staff have any questions of a programmatic nature, please contact your EPA Project Officer. Questions relating to administrative or fiscal matters should be directed to your EPA Grant Specialist.

Sincerely,

*Keith A. Takata*

Keith A. Takata  
Director  
Superfund Division

Enclosures

cc: Carol O'Bryant, CA DTSC (w/attach)  
Karen Poon, CA DTSC (w/attach)  
Harriet Kiyon, CA DTSC (w/attach)

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Cooperative Agreement</b>		ASSISTANCE ID NO.		DATE OF AWARD <b>JUN 13 2001</b>  MAILING DATE <b>JUN 20 2001</b>  ACH# 0921	
			PRG	DOC ID		AMEND#
			V -	00940408		- 0
			TYPE OF ACTION New			
RECIPIENT TYPE: State			PAYMENT METHOD: ACH			
RECIPIENT:			Send Payment Request to: N/A			
CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812 EIN: 68-0281381			PAYEE:			
CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812			CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812			
PROJECT MANAGER		EPA PROJECT OFFICER		EPA GRANT SPECIALIST		
Carol O'Bryant P.O. Box 806 Sacramento, CA 95812 E-Mail: Phone: (916) 323-3372		Jerome Ellis 75 Hawthorne Street, SFD-7 San Francisco, CA 94105 E-Mail: ellis.jerome@epa.gov Phone: 415-744-1002		Carolyn Truong Grants Management Office, PMD-7 E-Mail: truong.carolyn@epa.gov Phone: 415-744-1694		
<b>PROJECT TITLE AND DESCRIPTION</b> SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA)						
This assistance agreement provides partial EPA funding in the amount of \$300,000 to support workplan activities for the oversight of Federal National Priority List sites.						
BUDGET PERIOD 07/01/2001 - 06/30/2003		PROJECT PERIOD 07/01/2001 - 06/30/2003		TOTAL BUDGET PERIOD COST \$600,000.00		
				TOTAL PROJECT PERIOD COST \$600,000.00		
<b>NOTE:</b> The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
<b>OFFER AND ACCEPTANCE</b>						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>CA Dept of Toxic Subs Control</u> for <u>      </u> % of all approved costs incurred up to and not exceeding <u>\$300,000</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>04/16/2001</u> Included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS			ORGANIZATION / ADDRESS			
U.S. EPA, Region 9 Grants Management Office, PMD-7 75 Hawthorne Street San Francisco, CA 94105			U.S. EPA, Region 9 Superfund Division 75 Hawthorne Street San Francisco, CA 94105			
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>						
SIGNATURE OF AWARD OFFICIAL		TYPED NAME AND TITLE		DATE		
		Keith Takata, Director - Superfund Division		<b>JUN 13 2001</b>		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>						
SIGNATURE		TYPED NAME AND TITLE		DATE		
		Dorothy Rice, Deputy Director				

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Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	HJ1067	01	T	9AK0P	50102D	41.85	0900MS00	C031	300,000
									300,000

## Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$252,312
2. Fringe Benefits	\$71,676
3. Travel	\$16,822
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$340,810
10. Indirect Costs: 80.00% Base 323988	\$259,190
11. Total (Share: Recipient % Federal %)	\$600,000
12. Total Approved Assistance Amount	\$300,000
13. Program Income	\$0

## Detailed Table B Budget Page: 1

Table B - Program Element Classification (Non-construction)	Total Approved Allowable Budget Period Cost
1. TABLE A, LINE 10, INDIRECT COSTS BASE	\$
2. IS TOTAL PERSONNEL AND FRINGE.	\$
3.	\$
4. NO CAP IS ALLOWED	\$
5.	\$
6.	\$
7.	\$
8.	\$
9.	\$
10.	\$
11. Total (Share: Recip % Fed %)	\$
12. Total Approved Assistance Amount	\$

### **Administrative Conditions**

1. An interim Financial Status Report (FSR), Standard Form 269A (Rev. 7/97), covering the period from "project/budget period start date" to June 30 of each calendar year shall be submitted to the Grants Management Office, PMD-7, no later than September 30 of the same calendar year. The FSR covering the entire project period shall be submitted within 90 days after the end of the project period (40 CFR Part 31.23(b)).
2. The recipient shall comply with the Single Audit Act and the reporting requirements set forth in OMB Circular A-133.
3. The recipient agrees to complete and submit to the Grants Management Office, PMD-7, a MBE/WBE Utilization Report (EPA Form 5700-52A), within 30 days after the end of each Federal fiscal quarter (January 30, April 30, July 30, and October 30) beginning with the recipient's first contract award and continuing each quarter thereafter, until both the recipient and its contractor(s) award their last contract(s). Negative reports are required.
4. In accordance with EPA's Program for Utilization of Small, Minority and Women's Business Enterprises in procurement under Federal assistance programs, the recipient agrees to:
  - a) Accept the applicable FY 1999 "fair share" goals negotiated with EPA by the **California Department of Toxic Substances Control (CA DTSC)**, as follows:

	<u><b>MBE</b></u>	<u><b>WBE</b></u>
Construction	20%	10%
Supplies	24%	44%
Services	20%	31%
Equipment	19%	16%

If the recipient does not want to rely on the applicable State's MBE/WBE "fair share" goals, the recipient agrees to submit proposed MBE/WBE "fair share" goals based on availability of qualified minority and women-owned businesses to do work in the relevant market for construction, services, supplies, and equipment.

"Fair share" objectives must be submitted to Joe Ochab, PMD-1, within 30 days of award and approved by EPA no later than 30 days thereafter.

- b) Ensure to the fullest extent possible that at least the applicable "fair share" objective {see a) above} of Federal funds for prime contracts for supplies, construction, equipment or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women and historically black colleges and universities.
  - c) Include in its bid documents applicable "fair share" objectives {see a) above} and require all of its prime contractors to include in their bid documents for subcontracts the

require all of its prime contractors to include in their bid documents for subcontracts the negotiated fair share percentages.

d) Follow the six affirmative steps stated in 40 CFR Section 30.44(b), Section 31.36(e), Section 35.3145(d), or Section 35.6580(a), as appropriate.

e) In the event race and/or gender neutral efforts prove to be inadequate to achieve a fair share objective for MBE/WBEs, the recipient agrees to notify EPA in advance of any race and/or gender conscious action it plans to take to more closely achieve the fair share objective.

f) Until the recipient has completed its fair share negotiations with EPA, it agrees to apply the main State agency's fair share objectives. Once the recipient has completed its fair share negotiations with EPA, it will apply those objectives. The recipient also agrees to include in its bid documents the applicable FY-1999 "fair Share" objectives and require all of its prime contractors to include in their bid documents for subcontracts the applicable FY 1999 "fair share" percentages and to comply with paragraphs (c) through (e) above.

5. **CA DTSC**, as a recipient of Superfund monies under this Agreement, must report efforts taken to encourage the utilization of minority firms (outreach endeavors). This information must be included on the fourth quarter report (EPA Form 5700-52A). The report is due 30 days after the end of the Federal fiscal year.

6. The recipient agrees that indirect costs authorized in this award will be charged in accordance with the Negotiated Indirect Cost Rate Agreement (ICA). Indirect costs are not authorized and may not be requested for reimbursement for periods not covered by the Negotiated ICA.

7. In accordance with OMB Circular A-87, as appropriate, the recipient agrees that it will not use project funds, including the Federal and non-Federal share, to engage in lobbying the Federal Government or in litigation against the United States. The recipient also agrees to provide the information mandated by EPA's annual appropriations acts for fiscal year 2000 and fiscal year 2001 (PL 106-74, §426 and PL 106-377, §424 respectively) which require as follows: *'A chief executive officer of any entity receiving funds under this Act shall certify that none of these funds have been used to engage in the lobbying of the Federal Government or in litigation against the United States unless authorized under existing law.'* The recipient may satisfy this certification requirement in any reasonable manner. The certification must be submitted to EPA after all grant funds have been expended.

8. Pursuant to EPA Order 1000.25, dated January 24, 1990, the recipient agrees to use recycled paper for all reports which are prepared as a part of this agreement and delivered to EPA. This requirement does not apply to Standard Forms. These forms are printed on recycled paper as available through the General Services Administration.

9. Effective October 1, 1994, the recipient agrees to ensure that all conference, meeting, convention, or training space funded in whole or in part with Federal funds complies with the Hotel and Motel Fire Safety Act of 1990.

10. When a drawdown under the ACH/EFT Payment System occurs, the recipient shall indicate the Cooperative Agreement number, the appropriate EPA site, activity, operable unit, and the drawdown amount applicable to each accounts. The EPA account shall be chosen from the site matrix attached with the original grant award.

\*\*\*\*\* END OF DOCUMENT \*\*\*\*\*





## Department of Toxic Substances Control

Winston H. Hickox  
Agency Secretary  
California Environmental  
Protection Agency

Edwin F. Lowry, Director  
1001 "I" Street, 25<sup>th</sup> Floor  
P.O. Box 806  
Sacramento, California 95812-0806



Gray Davis  
Governor

June 28, 2001

Mr. Keith A. Takata, Director  
Superfund Division  
U.S. EPA, Region IX  
75 Hawthorne Street, H-1  
San Francisco, California 94105

Dear Mr. Takata:

The Department of Toxic Substances Control (DTSC) has received the following Multi-Site Cooperative Agreement (MSCA) grant award offer:

V-00940408-0, for the period of July 1, 2001 – June 30, 2002

DTSC accepts the terms and conditions set forth in the award offer dated June 13, 2001 and received June 26, 2001. Enclosed is a copy of the signed award. The original signed documents have been forwarded to Ms. Melinda Taplin of your Grants Management Section as requested. DTSC is also retaining a signed copy of the award.

If you have any questions regarding this matter, please contact Mrs. Donna Anderson at (916) 323-2962.

Sincerely,

Dorothy Rice  
Deputy Director  
Site Mitigation Program

Enclosures

cc: See Next Page

*The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our Web-site at [www.dtsc.ca.gov](http://www.dtsc.ca.gov).*

Mr. Keith A. Takata

June 28, 2001

Page two

cc: Ms. Melinda Taplin, Chief  
Grants Management Section, P-2-2  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Judy Johansen, Grants Specialist  
Grants Management Office, PMD-7  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Rachel Loftin, Project Officer  
States Tribes Assessment Office, SFD-5  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Leslie Frye, Deputy Director  
Administrative Services, FI 21-3  
Department of Toxic Substances Control  
1001 "I" Street  
Sacramento, CA 95812-0806

Ms. Harriet Kiyan, Chief  
Financial Operations, FI 21-2  
Department of Toxic Substances Control  
1001 "I" Street  
Sacramento, CA 95812-0806

Ms. Vicki Vandergriff, Chief  
Budgets Office, FI 21-2  
Department of Toxic Substances Control  
1001 "I" Street  
Sacramento, CA 95812-0806

Mr. Gary Allen, Chief  
Fiscal Systems, FI 21-2  
Department of Toxic Substances Control  
1001 "I" Street  
Sacramento, CA 95812-0806

Mr. Keith A. Takata

June 28, 2001


Page Three

cc: Mr. Steve Koyasako, Assistant Chief  
Office of Legal Counsel, FI 23-1  
Department of Toxic Substances Control  
1001 "I" Street  
Sacramento, CA 95812-0806

Ms. Karen Poon, Chief  
Accounting Office, FI 21-1  
Department of Toxic Substances Control  
1001 "I" Street  
Sacramento, CA 95812-0806

# RETURN TO EPA, PMD-7

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	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Cooperative Agreement</b>		ASSISTANCE ID NO.		DATE OF AWARD <b>JUN 13 2001</b>	
			PRG	DOC ID		AMEND#
			V - 00940408 - 0			
			TYPE OF ACTION New		MAILING DATE <b>JUN 20 2001</b>	
RECIPIENT TYPE: State		PAYMENT METHOD: ACH				
RECIPIENT: CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812 EIN: 68-0281381		Send Payment Request to: N/A				
		PAYEE: CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812				
PROJECT MANAGER Carol O'Bryant P.O. Box 806 Sacramento, CA 95812 E-Mail: Phone: (916) 323-3372		EPA PROJECT OFFICER Jerome Ellis 75 Hawthorne Street, SFD-7 San Francisco, CA 94105 E-Mail: ellis.jerome@epa.gov Phone: 415-744-1002		EPA GRANT SPECIALIST Carolyn Truong Grants Management Office, PMD-7 E-Mail: truong.carolyn@epa.gov Phone: 415-744-1694		
<b>PROJECT TITLE AND DESCRIPTION</b> SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA)  This assistance agreement provides partial EPA funding in the amount of \$300,000 to support workplan activities for the oversight of Federal National Priority List sites.						
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<b>NOTE:</b> The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
<b>OFFER AND ACCEPTANCE</b>						
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ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS U.S. EPA, Region 9 Grants Management Office, PMD-7 75 Hawthorne Street San Francisco, CA 94105			ORGANIZATION / ADDRESS U.S. EPA, Region 9 Superfund Division 75 Hawthorne Street San Francisco, CA 94105			
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>						
SIGNATURE OF AWARD OFFICIAL <i>K. Takata</i>		TYPED NAME AND TITLE Keith Takata, Director - Superfund Division		DATE <b>JUN 13 2001</b>		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>						
SIGNATURE <i>Dorothy Rice</i>		TYPED NAME AND TITLE Dorothy Rice, Deputy Director		DATE <b>6-27-01</b>		

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FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$	\$ 300,000	\$ 300,000
EPA In-Kind Amount	\$	\$	\$ 0
Unexpended Prior Year Balance	\$	\$	\$ 0
Other Federal Funds	\$	\$	\$ 0
Recipient Contribution	\$	\$	\$ 0
State Contribution	\$	\$	\$ 0
Local Contribution	\$	\$	\$ 0
Other Contribution	\$	\$	\$ 0
Allowable Project Cost	\$ 0	\$ 300,000	\$ 300,000

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
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									300,000

## Budget Summary Page

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## Detailed Table B Budget Page: 1

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  - a) Accept the applicable FY 1999 "fair share" goals negotiated with EPA by the **California Department of Toxic Substances Control (CA DTSC)**, as follows:

	<u>MBE</u>	<u>WBE</u>
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"Fair share" objectives must be submitted to Joe Ochab, PMD-1, within 30 days of award and approved by EPA no later than 30 days thereafter.

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require all of its prime contractors to include in their bid documents for subcontracts the negotiated fair share percentages.

d) Follow the six affirmative steps stated in 40 CFR Section 30.44(b), Section 31.36(e), Section 35.3145(d), or Section 35.6580(a), as appropriate.

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5. **CA DTSC**, as a recipient of Superfund monies under this Agreement, must report efforts taken to encourage the utilization of minority firms (outreach endeavors). This information must be included on the fourth quarter report (EPA Form 5700-52A). The report is due 30 days after the end of the Federal fiscal year.

6. The recipient agrees that indirect costs authorized in this award will be charged in accordance with the Negotiated Indirect Cost Rate Agreement (ICA). Indirect costs are not authorized and may not be requested for reimbursement for periods not covered by the Negotiated ICA.

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9. Effective October 1, 1994, the recipient agrees to ensure that all conference, meeting, convention, or training space funded in whole or in part with Federal funds complies with the Hotel and Motel Fire Safety Act of 1990.



10. When a drawdown under the ACH/EFT Payment System occurs, the recipient shall indicate the Cooperative Agreement number, the appropriate EPA site, activity, operable unit, and the drawdown amount applicable to each accounts. The EPA account shall be chosen from the site matrix attached with the original grant award.

\*\*\*\*\* END OF DOCUMENT \*\*\*\*\*

**SUPPORTING DOCUMENTS: GRANT APPLICATION  
w/BUDGET INFORMATION, ORIGINAL & REVISED  
SITE BUDGET WORKSHEET SECTIONS, ORIGINAL  
& REVISED SITE-SPECIFIC WORKPLANS, OTHER  
ATTACHMENTS, PRE-AWARD COMPLIANCE  
REVIEW REPORT, EPA APPROVAL & COMMITMENT  
NOTICE**

**FINANCIAL ASSISTANCE APPLICATION**

DATE: 5/2/01 *rec'd 5/8/01 & Forwarded to Jerome Ellis*  
TO: Rachel Loffin *JEROME ELLIS* MAIL CODE: SFD-5  
CERTIFIED PROJECT OFFICER YES ☒ NO ☐ \*\*  
CC: \_\_\_\_\_ (Supervisor)

FROM: Carolyn Truong, Grants Specialist  
Grants Management Office, PMD-7

APPLICANT Dept. of Toxic Substances Control CHECK ADDRESS ☐

GRANT I.D.# V-00942408-0 PROGRAM \$fund Multi-site

☒ Attached is an application from the above-mentioned applicant. Please prepare a Decision Memo, route it through your immediate supervisor, through Quality Assurance Program, and to the Grants Management Office (GMO). In addition, attach a copy of the Commitment Notice or copy of the request to the Division's Administrative Contact.

**NOTE:** Please go to the "Region 9 Grants Online" database (Apps1) to access the most current WordPerfect version of the fill-in-the-blank Decision Memo. The IRM Help Line (4-1759) is available to assist should you have difficulty accessing the database.

☐ Attached is an application from the above-mentioned applicant. Using IGMS, please prepare a Funding Recommendation (FR) and electronically route it through the Quality Assurance Program (and others, as applicable), and your immediate supervisor. Once you have the final FR & final commitment notice (CN), notify the Grant Specialist listed above, Grants Management Office (GMO). For IGMS questions, call Alba Espitia, 4-1696 or Renee Chan, 4-1707.

**NOTE:** Please go to the "Region 9 Grants Online" database (Apps1) to access supplemental information for the FR. When you've completed the "Supplement to FR", either cut & paste OR attach the file to the FR "attachment" field (above Project Funding table). The IRM Help Line (4-1759) is available to assist should you have difficulty accessing the database.

The Project Officer Decision Memo should address the issues mentioned below, as applicable:

- Approval of Work Plan/Program Objectives and specific amount of Federal funding;
- Reflect appropriate Statutory Authority, Delegation of Authority, and Acquisition vs Assistance.
- Itemized Equipment Approval and Amount(s).  
(If AIR recipient, specific identification of AMD approved nonrecurrent expenditures);
- Quality Assurance (QA) Plan approval date or a statement that a QA plan is unnecessary (all decision memos need to be signed by QAP, PMD-3, unless exempted from sign-off refer to 3/17/00 QA memo);
- Narrative of specific programmatic special conditions to be placed in cooperative agreement;
- Other issues specific to this program and/or award.

For **continuing programs**, formal written notice of application status from EPA to the recipient is expected within 45 days of application and a final decision is required by regulation within 60 days.

**\*\* BASED ON NATIONAL POLICY, GRANT PROJECT OFFICERS MUST BE CERTIFIED. OUR RECORDS SHOW THAT YOU ARE NOT A CERTIFIED PROJECT OFFICER. PLEASE SUBMIT YOUR DECISION MEMO AND COMMITMENT NOTICE FROM A CERTIFIED PROJECT OFFICER.**

Revised 3/13/01  
g:\user\share\opm\pmd7\forms\routeapp



## Department of Toxic Substances Control



iston H. Hickox  
ency Secretary  
lifornia Environmental  
Protection Agency

Edwin F. Lowry, Director  
1001 "I" Street, 25<sup>th</sup> Floor  
P.O. Box 806  
Sacramento, California 95812-0806

COPY

Gray Davis  
Governor

APR 16 2001

Ms. Melinda Taplin, Chief  
Grants Management Section, PMD-7  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, California 94105

Dear Ms. Taplin:

This letter transmits the Multi-Site Cooperative Agreement (MSCA) grant application request package for your review and approval. The request is for a new two-year grant in the amount of \$650,000. The two-year grant budget and project period would run from July 1, 2001 through June 30, 2003. The application includes the detailed Fiscal Year (FY) 2001-2002 budget spreadsheet and seventeen (17) final site specific work plans which include Personnel Services, Travel, and Indirect Funds to support the activities described in the work plans. The FY 2001-02 budget spreadsheet and work plans reflect funding for \$325,000 and 3.160 Person Years (PY). The FY 2002-2003 work plans will be negotiated at the end of the first year. Enclosed are the required certifications and assurances. The State Clearinghouse review process has been initiated.

The amendment application was prepared using only the interim 80 percent indirect rate. The application does not include the Cost Allocation Plan (CAP) costs which are also a portion of the Department of Toxic Substances Control's (DTSC's) interim indirect rate, as represented in the Cognizant Agency Indirect Cost Rate Negotiation Agreement. The CAP costs represent an additional allowable cost under the grant. DTSC has merely agreed to limit the indirect rate in the application to 80 percent until the indirect cost rate methodology is resolved with the United States Environmental Protection Agency (U.S. EPA) Headquarters. This decision is predicated on the commitment from the U.S. EPA to retroactively fund any additional indirect costs which may result from the renegotiated agreement.

RECEIVED  
APR 18 2001  
GMO, PMD-7

Ms. Melinda Taplin

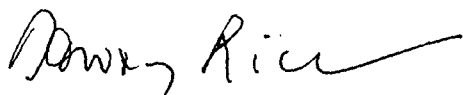
APR 16 2001

Page 2

DTSC FY 2001-03 grant amendment application should not be construed to mean that DTSC agrees with the audit findings, nor does the State in any way concur with your current position that costs identified under the CAP are not reimbursable. We wish to reserve right to pursue the reimbursement of these costs and intend to exhaust remedies available for any and all disallowance's that we believe are not appropriate. We will, on an interim rate, agree to apply and draw down only the 80 percent overhead with the commitment to retroactively reimburse DTSC's allowable costs, once the renegotiations are complete.

If you have any questions, please contact Ms. Carol O'Bryant of my staff at (916) 323-3372.

Sincerely,



Dorothy Rice  
Deputy Director  
Site Mitigation Program  
Department of Toxic Substances Control

Enclosures

cc: Ms. Carolyn Truong, Grants Specialist  
Grants Management Section, PMD-7  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, California 94105

Mr. Jerome Ellis, Project Officer  
Field Operations, SFD-5  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, California 94105

Ms. Leslie Frye, Deputy Director  
Administrative Services  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Ms. Melinda Taplin  
APR 16 2001

Page 3

cc: Mr. Steve Koyasako, Assistant Chief  
Office of Legal Counsel  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Ms. Harriet Kiyari, Chief  
Financial Operations  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Ms. Karen Poon, Chief  
Accounting Office  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Ms. Vicki Vandergriff, Chief  
Budget & Fiscal Systems  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Ms. Monica Allen, Chief  
Budget Office  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Mr. Gary Allen, Chief  
Fiscal Systems Unit  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

Mr. Larry Hoskins, Chief  
Cost Recovery Unit  
Department of Toxic Substances Control  
1001 'I' Street  
Sacramento, California 95812-0806

# APPLICATION FOR FEDERAL ASSISTANCE

<b>1. TYPE OF SUBMISSION</b>  Application <input type="checkbox"/> Construction      Preapplication <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction <input type="checkbox"/> Non-Construction		<b>2. DATE SUBMITTED</b> APR 18 2001	Applicant Identifier
		<b>3. DATE RECEIVED BY STATE</b>	State Application Identifier
		<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier V-009404-08
<b>5. APPLICANT INFORMATION</b>			
Legal Name: DEPARTMENT OF TOXIC SUBSTANCES CONTROL		Organizational Unit: SITE MITIGATION PROGRAM	
Address (give city, county, state, and zip code): 1001 I STREET, FLR 11-4 P.O. BOX 806 SACRAMENTO, SACRAMENTO, CALIFORNIA 95812-0806		Name and telephone number of the person to be contacted on matters involving this application (give area code) CAROL O'BRYANT (916) 323-3372	
<b>6. EMPLOYER IDENTIFICATION (EIN):</b> 68-0281381		<b>7. TYPE OF APPLICANT: (enter appropriate letter here)</b> <u>A</u>  A. State                      H. Independent School District B. County                    I. State Controlled Institution of Higher Learning C. Municipal                J. Private University D. Township                K. Indian Tribe E. Interstate                L. Individual F. Intermunicipal          M. Profit Organization G. Special District        N. Other (Specify): _____	
<b>8. TYPE OF APPLICATION:</b>  <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision  <input type="checkbox"/>  <input type="checkbox"/>  If Revision, enter appropriate letter(s) in box(es):  A. Increase Award      B. Decrease Award C. Increase Duration    D. Decrease Duration Other Specify:		<b>9. NAME OF FEDERAL AGENCY:</b> UNITED STATES ENVIRONMENTAL PROTECTION AGENCY	
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> 66-802  TITLE: CERCLA		<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> SUPERFUND MULTI-SITE MANAGEMENT ASSISTANCE TO PROVIDE OVERSIGHT OF FEDERAL NATIONAL PRIORITY LIST SITES	
<b>12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):</b> STATE OF CALIFORNIA			
<b>13. PROPOSED PROJECT:</b>			
<b>14. CONGRESSIONAL DISTRICT OF:</b> a. Applicant: DISTRICTS 3 & 4 CALIFORNIA      STATEWIDE      b. Project			
<b>15. Estimated Funding:</b>		<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>	
a. Federal      \$ <del>650,000.00</del> 600,000.00 b. Applicant      \$ <u>FE</u> c. State      \$ d. Local      \$ e. Other      \$ f. Program Income      \$ g. TOTAL      \$ 650,000.00		a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESSES FOR REVIEW ON: DATE <u>APR 18 2001</u>  b. NO. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>		<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b> <input type="checkbox"/> YES    If "Yes" attach an explanation. <input checked="" type="checkbox"/> NO	
a. Typed Name of Authorized Representative. DOROTHY RICE		b. Title: DEPUTY DIRECTOR	c. Telephone Number (916) 323-3576
d. Signature of Authorized Representative <i>Dorothy Rice</i>		e. Date Signed 4-16-01 <b>RECEIVED</b> APR 18 2001	

**BUDGET INFORMATION - Non-Construction Programs**

OMB Approval No. 0348-0044

**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. MSCA	66-802	\$	\$	\$ 650,000.00	\$	\$ 650,000.00
2.						
3.						
4.						
5. TOTALS		\$	\$	\$ 650,000.00	\$	\$ 650,000.00

**SECTION B - BUDGET CATEGORIES**

6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) FY 2001-2002	(2) FY 2002-2003	(3)	(4)	
a. Personnel	\$ 136,678.00	\$ 136,678.00	\$	\$	\$ 273,356.00
b. Fringe Benefits	\$ 38,827.00	\$ 38,827.00			\$ 77,654.00
c. Travel	\$ 9,090.00	\$ 9,090.00			\$ 18,180.00
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-h)	\$ 184,595.00	\$ 184,595.00			\$ 369,190.00
j. Indirect Charges	\$ 140,405.00	\$ 140,405.00			\$ 280,810.00
k. TOTALS (sum of 6i and 6j)	\$ 325,000.00	\$ 325,000.00	\$	\$	\$ 650,000.00
7. Program Income	\$	\$	\$	\$	\$



SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.	\$	\$	\$	\$	
9.					
10.					
11.					
12. TOTAL (sum of lines 8 and 11)	\$	\$	\$	\$	
SECTION D - FORECASTED CASH NEEDS					
13. Federal	(Total for 1st Year)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	\$	\$	\$	\$	\$
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	
17.					
18.					
19.					
20. TOTALS (sum of lines 16 - 19)	\$	\$	\$	\$	
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:		22. Indirect Charges:			
23. Remarks: PERSONNEL BUDGET IS BASED ON AN 80% INTERIM INDIRECT RATE PENDING APPROVAL OF FINAL RATE BY DTSC'S COGNIZANT FEDERAL AGENCY.					

# OBJECT CLASS CATEGORIES WORKSHEET

[NOTE: Please indicate any pre-award costs with a star (\*).]

## a. PERSONNEL

POSITION	NUMBER	SALARY	WORK YEARS	AMOUNT
PLEASE SEE ATTACHED BUDGET				
WORKSHEET FOR FY 2001-2002 BREAKDOWN				\$136,678.00
FY 2002-2003				\$136,678.00
<b>a. PERSONNEL TOTAL</b>				<b>\$273,356.00</b>

## b. FRINGE BENEFITS

BASE	\$273,356.00
RATE	X 28.408%
<b>b. FRINGE BENEFITS TOTAL</b>	<b>\$ 77,654.00</b>

## c. TRAVEL

<b>Explain:</b>
<hr/> <p>The travel budget of \$9,090 per fiscal year was prorated among the sites based upon the site work plan hours.</p> <hr/> <hr/> <hr/>
<b>c. TRAVEL TOTAL: \$ 18,180.00</b>

# OBJECT CLASS CATEGORIES WORKSHEET

d. **EQUIPMENT:** Tangible, non-expendable, personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Applicant's definition of equipment may be used provided the definition at least includes all items previously defined above.

ITEM	NUMBER	COST PER UNIT	TOTAL
<b>d. EQUIPMENT TOTAL:</b>			<b>\$0.00</b>

## e. SUPPLIES

List supplies by groups, as appropriate:	
<b>e. SUPPLIES</b>	<b>\$ 0.00</b>

# WORKSHEET

## OBJECT CLASS CATEGORIES

### f. CONTRACTUAL

List each planned contract or type of service to be procured. Agreements/contracts with other governmental agencies (state, local or Federal) should be listed under category h. OTHER.	
<b>f. CONTRACTUAL TOTAL</b>	<b>\$ 0.00</b>

### g. CONSTRUCTION (N/A)

### h. OTHER

Other: Explain by major categories.	

<b>i. TOTAL DIRECT COSTS:</b>	<b>\$ 369,190.00</b>
<b>j. INDIRECT COSTS:</b> (RATE: 80%)	<b>\$ 280,810.00</b>
<b>k. TOTAL PROPOSED COSTS:</b>	<b>\$ 650,000.00</b>
<b>FEDERAL FUNDS REQUESTED:</b> 100%	
<b>RECIPIENT SHARE OF TOTAL PROPOSED COSTS:</b> _N/A_ %	

KEY CONTACTS

# KEY PEOPLE LIST

Please show street address as well as Post Office Box Number where applicable.

## AGENCY DIRECTOR

(Individual who is authorized to sign the assistance agreement application and award acceptance).

Name: Ms. Dorothy Rice  
Title: Deputy Director, Site Mitigation Program  
Address: 1001 "T" Street, FLR 11-4  
P.O. Box 806  
Sacramento, CA 95812-0806  
Telephone: (916) 323-3576 E-mail: DRice@DTSC.CA.GOV

## PROGRAM DIRECTOR

(Technical program director; generally the same individual as the "contact person" in block #5 of the application).

Name: Ms. Carol O'Bryant  
Title: Chief, Grants Administration Unit  
Address: 1001 "T" Street, FLR 11-4  
P.O. Box 806  
Sacramento, CA 95812-0806  
Telephone: (916) 323-3372 E-mail: Cobryant@DTSC.CA.GOV

## FINANCE DIRECTOR

(This is the person who is responsible for (1) maintaining the accounting/financial management system supporting grant expenditures; (2) preparing financial reports; and (3) maintaining the Letter of Credit. If any of these responsibilities are located in another office, please so indicate by showing below the name(s), title(s) organization name(s), address and telephone.)

Name: Ms. Harriet Kiyon  
Title: Chief, Financial Operations  
Address: 1001 "T" Street, FLR 21-3  
P.O. Box 806  
Sacramento, CA 95812-0806  
Telephone: (916) 322-7856 E-mail: Hkiyan@DTSC.CA.GOV

INDIRECT COST AGREEMENT MEMORANDUM



OFFICE OF  
ADMINISTRATION  
AND RESOURCES  
MANAGEMENT

Ms. Lynn E. Whetstone, Deputy Director  
Administrative Services  
State of California  
Environmental Protection Agency  
Department of Toxic Substances Control  
400 P Street, 4th Floor  
Sacramento, California 95814

Dear Ms. Whetstone:

The following will confirm the telephone conversation between Harriet Kiyan and David Buntz of my staff concerning your provisional rate for FY 1997.

In our July 18, 1996 letter we recommended a 75% provisional rate for FY 1997, with the understanding that we would reexamine the rate when you submitted additional information. We have reviewed the additional information and have agreed to revise the provisional FY 1997 rate.

To update the July 18, 1996 letter, we recommend the following indirect cost rates for reimbursement on Federal awards. We also continue to recommend that the EPA Regional office no longer reimburse costs charged through the CAP, but instead that the indirect cost rate would apply to those CAP costs that were direct charged and supported by time cards.

Type	Effective Period		Rate	Base
	From	To		
Provisional	7/1/94	6/30/95	73.00%	(a)
Provisional	7/1/95	6/30/96	75.00%	(a)
Provisional	7/1/96	6/30/97	80.00%	(a)

### Basis for Application

(a) Direct salaries and wages and fringe benefits.

Treatment of Fringe Benefits: Leave costs applicable to direct salaries and wages is included in the indirect cost rate.

As we noted in our April 27, 1995 letter, the sole purpose of the Federal indirect cost rate is to determine the amount of indirect cost that may be reimbursed on Federal awards. This Federal indirect cost rate does not prevent you from using other allocation methods for other purposes. You may decide which



procedures you use to calculate the amount of any fees you impose on commercial concerns or the amount of cost recovery for your site mitigation program.

We will contact you in October to resolve the audit report on the final rates for FY 1988, 1989, 1990, and 1991. If you have any questions contact David Buntz on (202) 260-2003.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "William J. Dunn".

William J. Dunn, Acting Chief  
Cost Policy and Rate Negotiation Branch

cc: Melinda Taplin, EPA Region 9 Grants

## **ORIGINAL SITE BUDGET WORKSHEET SECTION**

FY 2001 – 2002  
SITE BUDGET WORKSHEET

MSCA BUDGET WORKSHEET  
FY 2001 - 2002

SITE NAME	HOURS	PERSON YEARS	SALARY & WAGES	FRINGE BENEFITS	TOTAL PERS. SVCS.	TOTAL INDIRECT	BUDGET SUB-TOTAL	TRAVEL BUDGET	TOTAL BUDGET
<b><u>SACRAMENTO OFFICE - 5100</u></b>									
FRONTIER FERTILIZER	460	0.294	\$ 12,737	\$ 3,618	\$ 16,356	\$ 13,085	\$ 29,441	\$ 847	\$ 30,287
IRON MOUNTAIN MINE	1000	0.640	\$ 27,690	\$ 7,866	\$ 35,556	\$ 28,445	\$ 64,001	\$ 1,841	\$ 65,842
SELMA PRESSURE TREATING	325	0.208	\$ 8,999	\$ 2,557	\$ 11,556	\$ 9,245	\$ 20,800	\$ 598	\$ 21,399
SULPHUR BANK MINE	500	0.320	\$ 13,845	\$ 3,933	\$ 17,778	\$ 14,222	\$ 32,001	\$ 921	\$ 32,921
<b>SUB-TOTALS:</b>	<b>2285</b>	<b>1.463</b>	<b>\$ 63,272</b>	<b>\$ 17,974</b>	<b>\$ 81,246</b>	<b>\$ 64,997</b>	<b>\$ 146,243</b>	<b>\$ 4,207</b>	<b>\$ 150,449</b>
<b><u>SOUTHERN CA BRANCH (A) - 5300</u></b>									
COOPER DRUM & BARREL	100	0.064	\$ 2,769	\$ 787	\$ 3,556	\$ 2,844	\$ 6,400	\$ 184	\$ 6,584
OMEGA CHEMICAL	150	0.096	\$ 4,154	\$ 1,180	\$ 5,333	\$ 4,267	\$ 9,600	\$ 276	\$ 9,876
PEMACO	150	0.096	\$ 4,154	\$ 1,180	\$ 5,333	\$ 4,267	\$ 9,600	\$ 276	\$ 9,876
WASTE DISPOSAL	500	0.320	\$ 13,845	\$ 3,933	\$ 17,778	\$ 14,222	\$ 32,001	\$ 921	\$ 32,921
<b>SUB-TOTALS:</b>	<b>900</b>	<b>0.576</b>	<b>\$ 24,921</b>	<b>\$ 7,080</b>	<b>\$ 32,001</b>	<b>\$ 25,600</b>	<b>\$ 57,601</b>	<b>\$ 1,657</b>	<b>\$ 59,258</b>
<b><u>SOUTHERN CA BRANCH (B) - 5310</u></b>									
ALARK HARD CHROME	50	0.032	\$ 1,385	\$ 393	\$ 1,778	\$ 1,422	\$ 3,200	\$ 95	\$ 3,295
MONTROSE CHEMICAL	521	0.334	\$ 14,426	\$ 4,098	\$ 18,525	\$ 14,820	\$ 33,345	\$ 959	\$ 34,304
NEWMARK WELLFIELD	250	0.160	\$ 6,923	\$ 1,967	\$ 8,889	\$ 7,111	\$ 16,000	\$ 460	\$ 16,461
PALOS VERDES SHELF	250	0.160	\$ 6,923	\$ 1,967	\$ 8,889	\$ 7,111	\$ 16,000	\$ 460	\$ 16,461
SAN GABRIEL VALLEY-OVERALL BASIN	80	0.051	\$ 2,215	\$ 629	\$ 2,844	\$ 2,276	\$ 5,120	\$ 147	\$ 5,267
SAN GABRIEL VALLEY-BALDWIN PARK	120	0.077	\$ 3,323	\$ 944	\$ 4,267	\$ 3,413	\$ 7,680	\$ 221	\$ 7,901
SAN GABRIEL VALLEY-EL MONTE	60	0.038	\$ 1,661	\$ 472	\$ 2,133	\$ 1,707	\$ 3,840	\$ 110	\$ 3,951
SAN GABRIEL VALLEY-S. EL MONTE	60	0.038	\$ 1,661	\$ 472	\$ 2,133	\$ 1,707	\$ 3,840	\$ 110	\$ 3,951
SAN GABRIEL VALLEY-PUENTE VALLEY	60	0.038	\$ 1,661	\$ 472	\$ 2,133	\$ 1,707	\$ 3,840	\$ 110	\$ 3,951
SAN GABRIEL VALLEY-WHITTIER NARROWS	300	0.192	\$ 8,307	\$ 2,360	\$ 10,667	\$ 8,533	\$ 19,200	\$ 552	\$ 19,753
<b>SUB-TOTALS:</b>	<b>1751</b>	<b>1.121</b>	<b>\$ 48,485</b>	<b>\$ 13,774</b>	<b>\$ 62,259</b>	<b>\$ 49,807</b>	<b>\$ 112,066</b>	<b>\$ 3,227</b>	<b>\$ 115,292</b>
<b>GRAND TOTALS:</b>	<b>4936</b>	<b>3.160</b>	<b>\$ 136,678</b>	<b>\$ 38,827</b>	<b>\$ 175,505</b>	<b>\$ 140,404</b>	<b>\$ 315,910</b>	<b>\$ 9,090</b>	<b>\$ 325,000</b>

Person Year = 1562 hours

Hourly Rate = \$27.69

Fringe Benefits = 28.408% of Salary

Indirect Rate = 80% of Personnel Services

Note: \$ differences due to rounding

*Handwritten:* 1562 Hours

DTSC  
FY 2001/2002  
MSCA SITE LIST

<u>SITE NAMES</u>	<u>PROJECT CODES</u>	<u>PROJECT MANAGER</u>	<u>SITE HOURS</u>
<b><u>SACRAMENTO OFFICE</u></b>			
FRONTIER FERTILIZER	100060	STEVE ROSS	460
IRON MOUNTAIN MINE	100077	DON MANDEL	1000
SELMA PRESSURE TREATING	100129	CHRIS SHERMAN	325
SULPHUR BANK MINE	100142	DON MANDEL	<u>500</u>
			<b><u>2285</u></b>
<b><u>HOURS SUB-TOTAL:</u></b>			
 <b><u>SOUTHERN CA. BRANCH (A) &amp; (B)</u></b>			
ALARK HARD CHROME	400003	JOSEPH CULLY	50
COOPER DRUM & BARREL	300251	LORI PARNASS	100
MONTROSE CHEMICAL	400100	GLORIA CONTI	521
NEWMARK WELLFIELD	400259	YASSER AREF	250
OMEGA CHEMICAL	300223	LORI PARNASS	150
PALOS VERDES SHELF	400645	YASSER AREF	250
PEMACO	300705	LORI PARNASS	150
SAN GABRIEL VALLEY - OVERALL BASIN	300133	JACKIE SPISZMAN	80
SAN GABRIEL VALLEY - BALDWIN PARK	300345	JACKIE SPISZMAN	120
SAN GABRIEL VALLEY - EL MONTE	300502	JACKIE SPISZMAN	60
SAN GABRIEL VALLEY - SOUTH EL MONTE	300347	JACKIE SPISZMAN	60
SAN GABRIEL VALLEY - PUENTE VALLEY	300346	JACKIE SPISZMAN	60
SAN GABRIEL VALLEY - WHITTIER NARROWS	300132	JACKIE SPISZMAN	300
WASTE DISPOSAL, INC.	300166	JESSY PHILIP	<u>500</u>
			<b><u>2651</u></b>
<b><u>HOURS SUB-TOTAL:</u></b>			
<b><u>TOTAL HOURS ALLOCATED:</u></b>			<b><u>4936</u></b>

MSCA WQ ACCOUNTS  
FY 2001 - 2002

<i>MSCA SITE NAME</i>	<i>DTSC SITE CODE-WP</i>	<i>U.S.EPA WQMS/SSID</i>	<i>U.S.EPA OPERABLE UNIT (OU)</i>
<u><b>SACRAMENTO OFFICE - 5100</b></u>			
FRONTIER FERTILIZER	100060	09WQMS00-CA4R	
IRON MOUNTAIN MINE	100077	09WQMS00-CA17	
SELMA PRESSURE TREATING	100129	09WQMS00-CA44	
SULPHUR BANK	100142	09WQMS00-CAK2	
<hr/>			
<u><b>SOUTHERN CA BRANCH (A) - 5300</b></u>			
COOPER DRUM & BARREL	300251	09WQMS00-CA1N	
OMEGA CHEMICAL	300223	09WQMS00CABC	
PEMACO	300705	09WQMS00-CA9K	
WASTE DISPOSAL	300166	09WQMS00-CAC1	
<hr/>			
<u><b>SOUTHERN CA BRANCH (B) - 5310</b></u>			
ALARK HARD CHROME	400003	09WQMS00-CA4Y	
MONTROSE CHEMICAL	400100	09WQMS00-CA26	
NEWMARK WELLFIELD	400259	09WQMS00-CAJ5	
PALOS VERDES SHELF	400645	09WQMS00-09CA	
SAN GABRIEL OVERALL BASIN	300133	09WQMS00-CA27	
SAN GABRIEL-BALDWIN PARK	300345	09WQMS00-CAM5	
SAN GABRIEL-EL MONTE	300502	09WQMS00-CA7B	
SAN GABRIEL-S. EL MONTE	300347	09WQMS00-CA4X	
SAN GABRIEL-PUENTE VALLEY UNIT	300346	09WQMS00-CA2C	
SAN GABRIEL-WHITTIER NARROWS UNIT	300132	09WQMS00-CAM4	
			MUSCOY OU
			00 (units M2/M3/M4/M5)
			01, 03
			01
			05
			01
			02

## **REVISED SITE BUDGET WORKSHEET SECTION**

FY 2001 – 2002  
SITE BUDGET WORKSHEET



MSCA BUDGET WORKSHEET  
FY 2001 - 2002

SITE NAME	HOURS	PERSON YEARS	SALARY & WAGES	FRINGE BENEFITS	TOTAL PERS. SVCS.	TOTAL INDIRECT	BUDGET SUB-TOTAL	TRAVEL BUDGET	TOTAL BUDGET
<b><u>SACRAMENTO OFFICE - 5100</u></b>									
FRONTIER FERTILIZER	280	0.179	\$ 7,753	\$ 2,203	\$ 9,956	\$ 7,965	\$ 17,920	\$ 515	\$ 18,436
IRON MOUNTAIN MINE	1000	0.640	\$ 27,690	\$ 7,866	\$ 35,556	\$ 28,445	\$ 64,001	\$ 1,841	\$ 65,842
SELMA PRESSURE TREATING	325	0.208	\$ 8,999	\$ 2,557	\$ 11,556	\$ 9,245	\$ 20,800	\$ 598	\$ 21,399
SULPHUR BANK MINE	300	0.192	\$ 8,307	\$ 2,360	\$ 10,667	\$ 8,533	\$ 19,200	\$ 552	\$ 19,753
<b>SUB-TOTALS:</b>	<b>1905</b>	<b>1.220</b>	<b>\$ 52,749</b>	<b>\$ 14,985</b>	<b>\$ 67,735</b>	<b>\$ 54,188</b>	<b>\$ 121,922</b>	<b>\$ 3,507</b>	<b>\$ 125,429</b>
<b><u>SOUTHERN CA BRANCH (A) - 5300</u></b>									
COOPER DRUM & BARREL	100	0.064	\$ 2,769	\$ 787	\$ 3,556	\$ 2,844	\$ 6,400	\$ 184	\$ 6,584
OMEGA CHEMICAL	150	0.096	\$ 4,154	\$ 1,180	\$ 5,333	\$ 4,267	\$ 9,600	\$ 276	\$ 9,876
PEMACO	150	0.096	\$ 4,154	\$ 1,180	\$ 5,333	\$ 4,267	\$ 9,600	\$ 276	\$ 9,876
WASTE DISPOSAL	500	0.320	\$ 13,845	\$ 3,933	\$ 17,778	\$ 14,222	\$ 32,001	\$ 921	\$ 32,921
<b>SUB-TOTALS:</b>	<b>900</b>	<b>0.576</b>	<b>\$ 24,921</b>	<b>\$ 7,080</b>	<b>\$ 32,001</b>	<b>\$ 25,600</b>	<b>\$ 57,601</b>	<b>\$ 1,657</b>	<b>\$ 59,258</b>
<b><u>SOUTHERN CA BRANCH (B) - 5310</u></b>									
ALARK HARD CHROME	50	0.032	\$ 1,385	\$ 393	\$ 1,778	\$ 1,422	\$ 3,200	\$ 95	\$ 3,295
MONTROSE CHEMICAL	521	0.334	\$ 14,426	\$ 4,098	\$ 18,525	\$ 14,820	\$ 33,345	\$ 959	\$ 34,304
NEWMARK WELLFIELD	250	0.160	\$ 6,923	\$ 1,967	\$ 8,889	\$ 7,111	\$ 16,000	\$ 460	\$ 16,461
PALOS VERDES SHELF	250	0.160	\$ 6,923	\$ 1,967	\$ 8,889	\$ 7,111	\$ 16,000	\$ 460	\$ 16,461
SAN GABRIEL VALLEY-OVERALL BASIN	80	0.051	\$ 2,215	\$ 629	\$ 2,844	\$ 2,276	\$ 5,120	\$ 147	\$ 5,267
SAN GABRIEL VALLEY-BALDWIN PARK	120	0.077	\$ 3,323	\$ 944	\$ 4,267	\$ 3,413	\$ 7,680	\$ 221	\$ 7,901
SAN GABRIEL VALLEY-EL MONTE	60	0.038	\$ 1,661	\$ 472	\$ 2,133	\$ 1,707	\$ 3,840	\$ 110	\$ 3,951
SAN GABRIEL VALLEY-S. EL MONTE	60	0.038	\$ 1,661	\$ 472	\$ 2,133	\$ 1,707	\$ 3,840	\$ 110	\$ 3,951
SAN GABRIEL VALLEY-PUENTE VALLEY	60	0.038	\$ 1,661	\$ 472	\$ 2,133	\$ 1,707	\$ 3,840	\$ 110	\$ 3,951
SAN GABRIEL VALLEY-WHITTIER NARROWS	300	0.192	\$ 8,307	\$ 2,360	\$ 10,667	\$ 8,533	\$ 19,200	\$ 552	\$ 19,753
<b>SUB-TOTALS:</b>	<b>1751</b>	<b>1.121</b>	<b>\$ 48,485</b>	<b>\$ 13,774</b>	<b>\$ 62,259</b>	<b>\$ 49,807</b>	<b>\$ 112,066</b>	<b>\$ 3,227</b>	<b>\$ 115,292</b>
<b>GRAND TOTALS:</b>	<b>4556</b>	<b>2.917</b>	<b>\$ 126,156</b>	<b>\$ 35,838</b>	<b>\$ 161,994</b>	<b>\$ 129,595</b>	<b>\$ 291,589</b>	<b>\$ 8,391</b>	<b>\$ 299,980</b>

Person Year = 1562 hours

Hourly Rate = \$27.69

Fringe Benefits = 28.408% of Salary

Indirect Rate = 80% of Personnel Services

Note: \$ differences due to rounding

<u>SITE NAMES</u>	<u>PROJECT CODES</u>	<u>PROJECT MANAGER</u>	<u>SITE HOURS</u>
<b><u>SACRAMENTO OFFICE</u></b>			
FRONTIER FERTILIZER	100060	STEVE ROSS	280
IRON MOUNTAIN MINE	100077	DON MANDEL	1000
SELMA PRESSURE TREATING	100129	CHRIS SHERMAN	325
SULPHUR BANK MINE	100142	DON MANDEL	<u>300</u>
	<b><u>HOURS SUB-TOTAL:</u></b>		<b><u>1905</u></b>
<b><u>SOUTHERN CA. BRANCH (A) &amp; (B)</u></b>			
ALARK HARD CHROME	400003	JOSEPH CULLY	50
COOPER DRUM & BARREL	300251	LORI PARNASS	100
MONTROSE CHEMICAL	400100	GLORIA CONTI	521
NEWMARK WELLFIELD	400259	YASSER AREF	250
OMEGA CHEMICAL	300223	LORI PARNASS	150
PALOS VERDES SHELF	400645	YASSER AREF	250
PEMACO	300705	LORI PARNASS	150
SAN GABRIEL VALLEY - OVERALL BASIN	300133	JACKIE SPISZMAN	80
SAN GABRIEL VALLEY - BALDWIN PARK	300345	JACKIE SPISZMAN	120
SAN GABRIEL VALLEY - EL MONTE	300502	JACKIE SPISZMAN	60
SAN GABRIEL VALLEY - SOUTH EL MONTE	300347	JACKIE SPISZMAN	60
SAN GABRIEL VALLEY - PUENTE VALLEY	300346	JACKIE SPISZMAN	60
SAN GABRIEL VALLEY - WHITTIER NARROWS	300132	JACKIE SPISZMAN	300
WASTE DISPOSAL, INC.	300166	JESSY PHILIP	<u>500</u>
	<b><u>HOURS SUB-TOTAL:</u></b>		<b><u>2651</u></b>
	<b><u>TOTAL HOURS ALLOCATED:</u></b>		<b><u>4556</u></b>

MSCA WQ ACCOUNTS  
FY 2001 - 2002

MSCA SITE NAME	DTSC SITE CODE-WP	U.S.EPA WQMS/SSID	U.S.EPA OPERABLE UNIT (OU)
<b><u>SACRAMENTO OFFICE - 5100</u></b>			
IRON MOUNTAIN MINE	100077	09WQMS00-CA17	
SELMA PRESSURE TREATING	100129	09WQMS00-CA44	
SULPHUR BANK	100142		
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<b><u>SOUTHERN CA BRANCH (A) - 5300</u></b>			
COOPER DRUM & BARREL	300251		
OMEGA CHEMICAL	300223		
PEMACO	300705	09WQMS00-CA9K	
WASTE DISPOSAL	300166	09WQMS00-CAC1	
<hr/>			
<b><u>SOUTHERN CA BRANCH (B) - 5310</u></b>			
ALARK HARD CHROME	400003		
MONTROSE CHEMICAL	400100	09WQMS00-CA26	
NEWMARK WELLFIELD	400259	09WQMS00-CAJ5	MUSCOY OU
PALOS VERDES SHELF	400645	09WQMS00-09CA	
SAN GABRIEL OVERALL BASIN	300133	09WQMS00-CA27	00 (units M2/M3/M4/M5)
SAN GABRIEL-BALDWIN PARK	300345	09WQMS00-CAM5	01, 03
SAN GABRIEL-EL MONTE	300502	09WQMS00-CA7B	01
SAN GABRIEL-S. EL MONTE	300347	09WQMS00-CA4X	05
SAN GABRIEL-PUENTE VALLEY UNIT	300346	09WQMS00-CA2C	01
SAN GABRIEL-WHITTIER NARROWS UNIT	300132	09WQMS00-CAM4	02

## **ORIGINAL SITE-SPECIFIC WORKPLANS**

18 SITE SPECIFIC WORK PLANS

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

**FRONTIER FERTILIZER  
PROJECT CODE: 100060**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 – GW IRA</b></u> Oversight of Groundwater Modeling, Well Construction, Design & Construction of Treatment System upgrades  <b>SUBTOTAL: 250 hours</b>	Ongoing	Ongoing	250
<u><b>Activity 2 – Disposal Area</b></u> RI – Oversight of Soil Investigation FS- Evaluation of Remedial Alternatives  <b>SUBTOTAL: 125 hours</b>	Ongoing	Ongoing	125
<u><b>Activity 3 –Public Outreach</b></u> Technical and public meetings Davis Report  <b>SUBTOTAL: 35 hours</b>	Ongoing	Ongoing	35
<u><b>Activity 4 – Quarterly GW Reports</b></u> Review Monitoring Reports  <b>SUBTOTAL: 50 hours</b>	Ongoing	Ongoing	50
<b>Travel Budget: \$847</b>			
<b>TOTAL HOURS: 460</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**IRON MOUNTAIN MINE  
PROJECT CODE: 100077**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 – OU4 RA</b></u> Slickrock Dam & Related Facilities Construction Oversight  <b>SUBTOTAL: 300 hours</b>	Ongoing	Ongoing	300
<u><b>Activity 2 – OU2 &amp; OU3</b></u> O&M Oversight  <b>SUBTOTAL: 100 hours</b>	Ongoing	Ongoing	100
<u><b>Activity 3 – OU5 Spring Creek Arm</b></u> RI/FS – Oversight  <b>SUBTOTAL: 150 hours</b>	Ongoing	Ongoing	150
<u><b>Activity 4 – Richmond Mine Rehab.</b></u> Construction Oversight  <b>SUBTOTAL: 300 hours</b>	Ongoing	Ongoing	300
<u><b>Activity 5 – OU6 Boulder Creek Area</b></u> RI/FS – Oversight  <b>SUBTOTAL:</b>	Ongoing	Ongoing	150
<b>Travel Budget: \$1841</b>			
<b>TOTAL HOURS: 1000</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

**SELMA PRESSURE TREATING  
PROJECT CODE: 100129**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – GROUNDWATER</u></b> Monitoring – O&M Oversight  <b>SUBTOTAL: 50 hrs.</b>	Ongoing	Ongoing	50
<b><u>Activity 2 – SOIL</u></b> Remediation Oversight Review Close-out Report  <b>SUBTOTAL: 150 hrs.</b>	Ongoing 10/01	Ongoing 10/01	150
<b><u>Activity 3 –General Coordination</u></b> Attend Meetings, etc. Finalize SSC – Soil  <b>SUBTOTAL: 100 hrs.</b>	Ongoing	Ongoing	100
<b><u>Activity 4 –DEED RESTRICTIONS</u></b>  <b>SUBTOTAL: 25 hrs.</b> <b>Travel Budget: \$598</b>	Ongoing	Ongoing	25
<b>TOTAL HOURS: 325</b>			



**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SULPHUR BANK MERCURY MINE  
PROJECT CODE: 100142**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – OU1 MINE SITE</u></b> RI/FS Review and Oversight  <b>SUBTOTAL: 200 hours</b>	Ongoing	Ongoing	200
<b><u>Activity 2 – OU2 LAKE SEDIMENTS</u></b> RI – Review and Oversight  <b>SUBTOTAL: 200 hours</b>	Ongoing	Ongoing	200
<b><u>Activity 3 – General Coordination</u></b> Meetings, etc.  <b>SUBTOTAL: 100 hours</b>	Ongoing	Ongoing	100
<b>Travel Budget: \$921</b>			
<b>TOTAL HOURS: 500</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**COOPER DRUM & BARREL  
PROJECT CODE: 300251**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Scoping the Project, review data  <b>SUBTOTAL: 20 hours</b>	07/01 – 06/02	1 month	20
<b><u>Activity 2 –</u></b> Review and comment on RI/FS Proposed Plan & ROD  <b>SUBTOTAL: 60 hours</b>	07/01 – 06/02	1 month	60
<b><u>Activity 3 –</u></b> Meetings  <b>SUBTOTAL: 10 hours</b>	07/01 – 06/02	2 weeks	10
<b><u>Activity 4 –</u></b> Field work oversight  <b>SUBTOTAL: 10 hours</b>	07/01 – 06/02	2 weeks	10
<b>Travel Budget: \$184</b>			
<b>TOTAL HOURS: 100 HOURS</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**OMEGA CHEMICAL  
PROJECT CODE: 300223**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 –</b></u> Review data and scoping documents  <b>SUBTOTAL: 40 hours</b>	7/01 – 6/02	2 weeks	40
<u><b>Activity 2 –</b></u> Review and comment on OU1 & OU2 Work plans, ECCA and RAP documents  <b>SUBTOTAL: 70 hours</b>	7/01 – 6/02	1 month	70
<u><b>Activity 3 –</b></u> Meetings  <b>SUBTOTAL: 10 hours</b>	7/01 – 6/02	1 month	10
<u><b>Activity 4 –</b></u> Field work oversight  <b>SUBTOTAL: 30 hours</b>	7/01 – 6/02	1 month	30
<b>Travel Budget: \$ 276</b>			
<b>TOTAL HOURS: 150</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**PEMACO, INC.  
PROJECT CODE: 300223**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Review & comment on RI/FS  <b>SUBTOTAL: 70 hours</b>	7/01 – 6/02	1 month	70
<b><u>Activity 2 –</u></b> Meetings  <b>SUBTOTAL: 40 hours</b>	7/01 – 6/02	1 month	40
<b><u>Activity 3 –</u></b> Field Oversight  <b>SUBTOTAL: 40 hours</b>	7/01 – 6/02	2 weeks	40
<b>Travel Budget: \$276</b>			
<b>TOTAL HOURS: 150</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**WASTE DISPOSAL, INC.  
PROJECT CODE: 300166**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Supplemental FS  <b>SUBTOTAL: 100 hrs.</b>	Jul 01-Jun 02	6 months	100
<b><u>Activity 2 –</u></b> Groundwater Monitoring Program Soil Gas Monitoring Program  <b>SUBTOTAL: 100 hrs.</b>	Jul 01-Jun 02	6 months	100
<b><u>Activity 3 –</u></b> Proposed Plan Review ROD Amendment Public & Technical Meetings  <b>SUBTOTAL: 200 hrs.</b>	Jul 01-Jun 02	6 months	200
<b><u>Activity 4 –</u></b> Final 90% / 100% Design Drawings & Specifications CD Negotiations  <b>SUBTOTAL: 100 hrs.</b>	Jul 01-Jun 02	6 months	100
<b>Travel Budget: \$921</b>			
<b>TOTAL HOURS: 500</b>			<b><u>500</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**ALARK HARD CHROME  
PROJECT CODE: 400003**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Review data from initial well sampling (for FYI purposes only-no deliverable)	July 2001		
<b><u>Activity 2 –</u></b> Review of Draft RI Work Plan Prepare comments/submit to U.S.EPA  <b>SUBTOTAL: 40 hours</b>	Aug 2001	Sept 2001	40
<b><u>Activity 3 –</u></b> Review of Final RI Work Plan  <b>SUBTOTAL: 10 hours</b>	Sept 2001	Oct 2001	10
<b>Travel Budget: \$ 95</b>			
<b>TOTAL HOURS: 50</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

**MONTROSE CHEMICALS  
PROJECT CODE: 400100**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – Neighborhood Sampling</u></b> Review Response Action Report	2/4/02	2/25/02	40
<b>SUBTOTAL: 40 hrs.</b>			
<b><u>Activity 2 – On-Site Soil Reviews</u></b> Treatability Study Report	12/01	1/02	40
Draft Soils Feasibility Study Report	3/02	3/02	50
Final Soils Feasibility Study Report	5/02	5/02	20
Soils Risk Assessment-Revised Final	5/02	1/02	36
<b>SUBTOTAL: 146 hrs.</b>			
<b><u>Activity 3 – DNAPL</u></b> Review Treatability Study Report	3/02	3/02	50
Review Draft DNAPL Feasibility Study	6/02	6/02	65
<b>SUBTOTAL: 115 hrs.</b>			
<b><u>Activity 4 – Groundwater Design Reviews</u></b> Groundwater Model Development	11/01	3/02	44
Groundwater Well Installation Plan	7/01	8/01	24
Groundwater Pump Test Plan	7/01	8/01	24
Groundwater RD Scope	1/02	2/02	24
<b>SUBTOTAL: 116 hrs.</b>			
<b><u>Activity 5 – Meetings</u></b> EPA & PRPs	Ongoing	Ongoing	54
Community Relations	Ongoing	Ongoing	50
<b>SUBTOTAL: 114 hrs.</b>			
<b>Travel Budget: \$959</b>			
<b>TOTAL HOURS: 521</b>			<b><u>521</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**NEWMARK WELLFIELD-MUSCOY  
PROJECT CODE: 400259**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 - SOURCE OU</u></b>			
Review of Army data reports	FY 01/02	FY 01/02	19
-Apex	JUL 01	AUG 01	29
-Catpit/LAP			
-Site-wide soil gas			
-Final data report			
<b>SUBTOTAL: 48 hours</b>			



<b><u>Activity 2 - MAJOR SUBMITTALS FOR THE MUSCOY O U R D</u></b>	<b><u>SUBMIT TO DTSC</u></b>	<b><u>DTSC RESPONSE</u></b>	<b><u>HOURS</u></b>
Draft Treatability Study Work Plan	SEP 01	OCT 01	16
Final Treatability Study Work Plan, if required	OCT 01	NOV 01	4
Quality Assurance Project Plan (QAPP)	SEP 01	OCT 01	16
Revised QAPP, if required	OCT 01	NOV 01	4
Field Sampling Plan (FSP)	SEP 01	OCT 01	16
Revised FSP, if required	OCT 01	NOV 01	4
Data Evaluation Summary Report			
Draft Treatability Study Evaluation Report	NOV 01	DEC 01	8
Final Treatability Study Evaluation Report	NOV 01	DEC 01	8
100-Percent Design, one report for each of the following bid packages:	DEC 01	JAN 02	4
- Pipeline design			
- Well waste line			
- Freeway/RR bore design	JUL 01	AUG 01	20
- Treatment plant design			
- Monitoring well design			
<b>SUBTOTAL: 100 hours</b>			

<b><u>Activity 3 - MAJOR SUBMITTALS FOR THE MUSCOY OU RA</u></b>	<b><u>SUBMIT TO DTSC</u></b>	<b><u>DTSC RESPONSE</u></b>	<b><u>HOURS</u></b>
Updated Sampling and Analysis Plan (SAP)	MAR 02	APR 02	12
Revised SAP, if required	APR 02	MAY 02	4
Updated Construction Quality Assurance Plan	MAR 02	APR 02	12
Revised Construction Quality Assurance Plan, if required	APR 02	MAY 02	4
Inspection Report every six months	FY 01/02	FY 01/02	8
Monthly Data Evaluation Summary Report	FY 01/02	FY 01/02	4
Semi-annual Data Evaluation Summary Report	FY 01/02	FY 01/02	8
<b>SUBTOTAL: 52 hours</b>			

<b><u>Activity 4 - NEWMARK OU</u></b>	<b><u>SUBMIT TO DTSC</u></b>	<b><u>DTSC RESPONSE</u></b>	<b><u>HOURS</u></b>
Data Evaluation Summary Report (6 months)	SEP 01	OCT 01	8
Revised Operations and Maintenance (O&M) Manual	SEP 01	OCT 01	8
Cost and Performance Report	SEP 01	OCT 01	8
Pre-final Inspection Report	DEC 01	JAN 02	8
Remedial Action Report	MAR 02	APR 02	10
Revised Remedial Action Report, if required	APR 02	JUN 02	8
<b>SUBTOTAL: 50 hours</b>			
<b>Travel Budget: \$460</b>			
<b>TOTAL HOURS: 250</b>			<b><u>250</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**PALOS VERDES SHELF  
PROJECT CODE: 400645**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 -</u></b> Review/comment on Pilot Capping Project Report	6/01	7/01	32
Review/comment on draft Capping Proposed Plan	4/02	5/02	32
<b>SUBTOTAL: 64 hrs.</b>			
<b><u>Activity 2 -</u></b> Review/comment on EE/CA Supplement	12/01	2/02	32
<b>SUBTOTAL: 32 hrs.</b>			
<b><u>Activity 3 -</u></b> Review Institutional Controls Implementation Work Plan	7/01	8/01	16
<b>SUBTOTAL: 16 hrs.</b>			
<b><u>Activity 4 -</u></b> Meetings with: U.S. EPA Community Participation PVS TAC	Ongoing Ongoing Ongoing	Ongoing Ongoing Ongoing	72 8 58
<b>SUBTOTAL: 138 hrs.</b>			
<b>Travel Budget: \$460</b>			
<b>TOTAL HOURS: 250</b>			<b><u>250</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLANS SUMMARY**

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**SAN GABRIEL VALLEY SITES  
PROJECT CODES: VARIOUS**

<b><u>SAN GABRIEL VALLEY OUs</u></b>	<b>HOURS</b>
<b>OVERALL BASIN</b>	<b>80</b>
<b>BALDWIN PARK</b>	<b>120</b>
<b>EL MONTE</b>	<b>60</b>
<b>SOUTH EL MONTE</b>	<b>60</b>
<b>PUENTE VALLEY WHITTIER NARROWS</b>	<b>60 300</b>
<b>Travel Budget: \$ 1,250</b>	
<b>TOTAL HOURS: 680</b>	

See attached individual work plans for details

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-BASINWIDE  
PROJECT CODE: 300133**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – Technical Support</u></b> Overall Basin Wide Issues  <b>SUBTOTAL: 40 hours</b>	Ongoing	Ongoing	40
<b><u>Activity 2 – Project Coordination</u></b> Overall Basin Wide Coordination Issues  <b>SUBTOTAL: 40 hours</b>	Ongoing	Ongoing	40
<b>Travel Budget: \$ 147</b>			
<b>TOTAL HOURS: 80 hours</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY – BALDWIN PARK  
PROJECT CODE: 300345**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> ✓ Monitor RD/RA negotiations  <b>SUBTOTAL: 30 hours</b>	Ongoing	Ongoing	30
<b><u>Activity 2 –</u></b> Technical review of Pre-design and design documents  <b>SUBTOTAL: 60 hours</b>		Upon submittal	60
<b><u>Activity 3 –</u></b> ✓ Attend technical and interagency Coordination meetings  <b>SUBTOTAL: 30 hours</b>	Ongoing	Ongoing	30
<b>Travel Budget: \$ 221</b>			
<b>TOTAL HOURS: 120</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-SO. EL MONTE  
PROJECT CODE: 300347**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – RD /RA</u></b> Monitor RD/RA negotiations  <b>SUBTOTAL: 20 hours</b>		Upon initiation Of negotiations	20
<b><u>Activity 2 – Pre-design</u></b> Review & comment on pre-design docs  <b>SUBTOTAL: 20 hours</b>		Upon Submittal	20
<b><u>Activity 3 – Meetings</u></b> Attend meetings  <b>SUBTOTAL: 20 hours</b>	Ongoing	Ongoing	20
<b>Travel Budget: \$ 110</b>			
<b>TOTAL HOURS: 60</b>			



**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-PUENTE VALLEY OU  
PROJECT CODE: 300346**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – Pre-design</u></b> Review & comment on Pre-design Submittals which are not expected until end of year at the earliest  <b>SUBTOTAL: 20 hours</b>		Upon submittal	20*
<b><u>Activity 2 – RD/RA</u></b> Monitor RD/RA negotiations  <b>SUBTOTAL: 20 hours</b>	Ongoing	Ongoing	20
<b><u>Activity 3 – Meetings</u></b> Attend Technical & Coordination meetings  <b>SUBTOTAL: 20 hours</b>	Ongoing	Ongoing	20
<b>Travel Budget: \$110</b>			
<b>TOTAL HOURS: 60 hours</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-WHITTIER NARROWS OU  
PROJECT CODE: 300132**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 – Technical Review</b></u> Design related documents  <b>SUBTOTAL: 50 hours</b>	Ongoing	Upon submittal	50
<u><b>Activity 2 – Technical Support</b></u> RA Construction  <b>SUBTOTAL: 100 hours</b>		Upon initiation Of RA construction	100
<u><b>Activity 3 – Technical Support</b></u> Water Reuse Permit  <b>SUBTOTAL: 25 hours</b>	Ongoing	Ongoing	25
<u><b>Activity 4 – Meetings</b></u> Attend Technical & Coordination  <b>SUBTOTAL: 50 hours</b>		As required	50
<u><b>Activity 5 – Monitoring Activities</b></u> Related to procurement of O & M Contract with Water Purveyor  <u><b>Activity 6 – Contract Amendment</b></u> State Superfund Contract  <b>SUBTOTAL: 75 hours</b>			25          50
<b>Travel Budget: \$552</b>			
<b>TOTAL HOURS: 300</b>			

## **REVISED SITE-SPECIFIC WORKPLANS**

18 SITE SPECIFIC WORK PLANS

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**FRONTIER FERTILIZER, DAVIS, CA  
(PROJECT CODE: 100060)**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>	<b>PERSON YEARS</b>
<u><b>Activity 1 - GW IRA</b></u> - Oversight of Groundwater Modeling. -Oversight of Well Construction - Oversight of Design and Construction of Treatment System Upgrades	Ongoing	Ongoing	<del>250</del> 150	<del>0.160</del> 0.096
<u><b>Activity 2 - Disposal Area</b></u> -RI - Oversight of soil investigation -FS - Evaluation of remedial alternatives	Ongoing	Ongoing	<del>125</del> 75	<del>0.080</del> 0.048
<u><b>Activity 3 - Public Outreach</b></u> - Technical meetings, public meetings, Davis Report	Ongoing	Ongoing	<del>35</del> 25	<del>0.022</del> 0.016
<u><b>Activity 4 -Qtrly GW Reports.</b></u> -Review Monitoring Reports	Ongoing	Ongoing	<del>50</del> 30	<del>0.032</del> 0.019
<b>TOTAL</b>			<b>460 280</b>	<b>0.294 0.179</b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**IRON MOUNTAIN MINE  
PROJECT CODE: 100077**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 – OU4 RA</b></u> Slickrock Dam & Related Facilities Construction Oversight  <b>SUBTOTAL: 300 hours</b>	Ongoing	Ongoing	300
<u><b>Activity 2 – OU2 &amp; OU3</b></u> O&M Oversight  <b>SUBTOTAL: 100 hours</b>	Ongoing	Ongoing	100
<u><b>Activity 3 – OU5 Spring Creek Arm</b></u> RI/FS – Oversight  <b>SUBTOTAL: 150 hours</b>	Ongoing	Ongoing	150
<u><b>Activity 4 – Richmond Mine Rehab.</b></u> Construction Oversight  <b>SUBTOTAL: 300 hours</b>	Ongoing	Ongoing	300
<u><b>Activity 5 – OU6 Boulder Creek Area</b></u> RI/FS – Oversight  <b>SUBTOTAL:</b>	Ongoing	Ongoing	150
<b>Travel Budget: \$1841</b>			
<b>TOTAL HOURS: 1000</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SELMA PRESSURE TREATING  
PROJECT CODE: 100129**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 – GROUNDWATER</b></u> Monitoring – O&M Oversight  <b>SUBTOTAL: 50 hrs.</b>	Ongoing	Ongoing	50
<u><b>Activity 2 – SOIL</b></u> Remediation Oversight Review Close-out Report  <b>SUBTOTAL: 150 hrs.</b>	Ongoing 10/01	Ongoing 10/01	150
<u><b>Activity 3 –General Coordination</b></u> Attend Meetings, etc. Finalize SSC – Soil  <b>SUBTOTAL: 100 hrs.</b>	Ongoing	Ongoing	100
<u><b>Activity 4 –DEED RESTRICTIONS</b></u>  <b>SUBTOTAL: 25 hrs.</b> <b>Travel Budget: \$598</b>	Ongoing	Ongoing	25
<b>TOTAL HOURS: 325</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SULPHUR BANK MERCURYMINE, CLEAR LAKE, CA  
(PROJECT CODE: 100142)**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>	<b>PERSON YEARS</b>
<b><u>Activity 1 - OU1 MINE SITE</u></b> - RI/FS - Review and Oversight  <b>SUBTOTAL</b>	Ongoing	Ongoing	150	0.096
<b><u>Activity 2 - OU2 LAKE SEDIMENTS</u></b> - RI - Review and Oversight  <b>SUBTOTAL</b>	Ongoing	Ongoing	100	0.064
<b><u>Activity 3 - General Coordination</u></b> - Meetings, etc  <b>SUBTOTAL</b>	Ongoing	Ongoing	50	0.032
<b>TOTAL</b>			300	0.192



**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**COOPER DRUM & BARREL  
PROJECT CODE: 300251**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Scoping the Project, review data  <b>SUBTOTAL: 20 hours</b>	07/01 – 06/02	1 month	20
<b><u>Activity 2 –</u></b> Review and comment on RI/FS Proposed Plan & ROD  <b>SUBTOTAL: 60 hours</b>	07/01 – 06/02	1 month	60
<b><u>Activity 3 –</u></b> Meetings  <b>SUBTOTAL: 10 hours</b>	07/01 – 06/02	2 weeks	10
<b><u>Activity 4 –</u></b> Field work oversight  <b>SUBTOTAL: 10 hours</b>	07/01 – 06/02	2 weeks	10
<b>Travel Budget: \$184</b>			
<b>TOTAL HOURS: 100 HOURS</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**OMEGA CHEMICAL  
PROJECT CODE: 300223**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Review data and scoping documents  <b>SUBTOTAL: 40 hours</b>	7/01 – 6/02	2 weeks	40
<b><u>Activity 2 –</u></b> Review and comment on OU1 & OU2 Work plans, ECCA and RAP documents  <b>SUBTOTAL: 70 hours</b>	7/01 – 6/02	1 month	70
<b><u>Activity 3 –</u></b> Meetings  <b>SUBTOTAL: 10 hours</b>	7/01 – 6/02	1 month	10
<b><u>Activity 4 –</u></b> Field work oversight  <b>SUBTOTAL: 30 hours</b>	7/01 – 6/02	1 month	30
<b>Travel Budget: \$ 276</b>			
<b>TOTAL HOURS: 150</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**PEMACO, INC.  
PROJECT CODE: 300223**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Review & comment on RI/FS  <b>SUBTOTAL: 70 hours</b>	7/01 – 6/02	1 month	70
<b><u>Activity 2 –</u></b> Meetings  <b>SUBTOTAL: 40 hours</b>	7/01 – 6/02	1 month	40
<b><u>Activity 3 –</u></b> Field Oversight  <b>SUBTOTAL: 40 hours</b>	7/01 – 6/02	2 weeks	40
<b>Travel Budget: \$276</b>			
<b>TOTAL HOURS: 150</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**WASTE DISPOSAL, INC.  
PROJECT CODE: 300166**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Supplemental FS  <b>SUBTOTAL: 100 hrs.</b>	Jul 01-Jun 02	6 months	100
<b><u>Activity 2 –</u></b> Groundwater Monitoring Program Soil Gas Monitoring Program  <b>SUBTOTAL: 100 hrs.</b>	Jul 01-Jun 02	6 months	100
<b><u>Activity 3 –</u></b> Proposed Plan Review ROD Amendment Public & Technical Meetings  <b>SUBTOTAL: 200 hrs.</b>	Jul 01-Jun 02	6 months	200
<b><u>Activity 4 –</u></b> Final 90% / 100% Design Drawings & Specifications CD Negotiations  <b>SUBTOTAL: 100 hrs.</b>	Jul 01-Jun 02	6 months	100
<b>Travel Budget: \$921</b>			
<b>TOTAL HOURS: 500</b>			<b><u>500</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**ALARK HARD CHROME  
PROJECT CODE: 400003**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Review data from initial well sampling (for FYI purposes only-no deliverable)	July 2001		
<b><u>Activity 2 –</u></b> Review of Draft RI Work Plan Prepare comments/submit to U.S.EPA  <b>SUBTOTAL: 40 hours</b>	Aug 2001	Sept 2001	40
<b><u>Activity 3 –</u></b> Review of Final RI Work Plan  <b>SUBTOTAL: 10 hours</b>	Sept 2001	Oct 2001	10
<b>Travel Budget: \$ 95</b>			
<b>TOTAL HOURS: 50</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

**MONTROSE CHEMICALS  
PROJECT CODE: 400100**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – Neighborhood Sampling</u></b> Review Response Action Report	2/4/02	2/25/02	40
<b>SUBTOTAL: 40 hrs.</b>			
<b><u>Activity 2 – On-Site Soil Reviews</u></b> Treatability Study Report Draft Soils Feasibility Study Report Final Soils Feasibility Study Report Soils Risk Assessment-Revised Final	12/01 3/02 5/02 5/02	1/02 3/02 5/02 1/02	40 50 20 36
<b>SUBTOTAL: 146 hrs.</b>			
<b><u>Activity 3 – DNAPL</u></b> Review Treatability Study Report Review Draft DNAPL Feasibility Study	3/02 6/02	3/02 6/02	50 65
<b>SUBTOTAL: 115 hrs.</b>			
<b><u>Activity 4–Groundwater Design Reviews</u></b> Groundwater Model Development Groundwater Well Installation Plan Groundwater Pump Test Plan Groundwater RD Scope	11/01 7/01 7/01 1/02	3/02 8/01 8/01 2/02	44 24 24 24
<b>SUBTOTAL: 116 hrs.</b>			
<b><u>Activity 5 – Meetings</u></b> EPA & PRPs Community Relations	Ongoing Ongoing	Ongoing Ongoing	54 50
<b>SUBTOTAL: 114 hrs.</b>			
<b>Travel Budget: \$959</b>			
<b>TOTAL HOURS: 521</b>			<b><u>521</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**NEWMARK WELLFIELD-MUSCOY  
PROJECT CODE: 400259**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 - SOURCE OU</u></b>			
Review of Army data reports	FY 01/02	FY 01/02	19
-Apex -Catpit/LAP -Site-wide soil gas -Final data report	JUL 01	AUG 01	29
<b>SUBTOTAL: 48 hours</b>			

<b><u>Activity 2 - MAJOR SUBMITTALS FOR THE MUSCOY OU RD</u></b>	<b><u>SUBMIT TO DTSC</u></b>	<b><u>DTSC RESPONSE</u></b>	<b><u>HOURS</u></b>
Draft Treatability Study Work Plan	SEP 01	OCT 01	16
Final Treatability Study Work Plan, if required	OCT 01	NOV 01	4
Quality Assurance Project Plan (QAPP)	SEP 01	OCT 01	16
Revised QAPP, if required	OCT 01	NOV 01	4
Field Sampling Plan (FSP)	SEP 01	OCT 01	16
Revised FSP, if required	OCT 01	NOV 01	4
Data Evaluation Summary Report			
Draft Treatability Study Evaluation Report	NOV 01	DEC 01	8
Final Treatability Study Evaluation Report	NOV 01	DEC 01	8
100-Percent Design, one report for each of the following bid packages:	DEC 01	JAN 02	4
- Pipeline design			
- Well waste line			
- Freeway/RR bore design	JUL 01	AUG 01	20
- Treatment plant design			
- Monitoring well design			
<b>SUBTOTAL: 100 hours</b>			



<b><u>Activity 3 - MAJOR SUBMITTALS FOR THE MUSCOY OU RA</u></b>	<b><u>SUBMIT TO DTSC</u></b>	<b><u>DTSC RESPONSE</u></b>	<b><u>HOURS</u></b>
Updated Sampling and Analysis Plan (SAP)	MAR 02	APR 02	12
Revised SAP, if required	APR 02	MAY 02	4
Updated Construction Quality Assurance Plan	MAR 02	APR 02	12
Revised Construction Quality Assurance Plan, if required	APR 02	MAY 02	4
Inspection Report every six months	FY 01/02	FY 01/02	8
Monthly Data Evaluation Summary Report	FY 01/02	FY 01/02	4
Semi-annual Data Evaluation Summary Report	FY 01/02	FY 01/02	8
<b>SUBTOTAL: 52 hours</b>			

<b><u>Activity 4 - NEWMARK OU</u></b>	<b><u>SUBMIT TO DTSC</u></b>	<b><u>DTSC RESPONSE</u></b>	<b><u>HOURS</u></b>
Data Evaluation Summary Report (6 months)	SEP 01	OCT 01	8
Revised Operations and Maintenance (O&M) Manual	SEP 01	OCT 01	8
Cost and Performance Report	SEP 01	OCT 01	8
Pre-final Inspection Report	DEC 01	JAN 02	8
Remedial Action Report	MAR 02	APR 02	10
Revised Remedial Action Report, if required	APR 02	JUN 02	8
<b>SUBTOTAL: 50 hours</b>			
<b>Travel Budget: \$460</b>			
<b>TOTAL HOURS: 250</b>			<b><u>250</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**PALOS VERDES SHELF  
PROJECT CODE: 400645**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 -</u></b> Review/comment on Pilot Capping Project Report	6/01	7/01	32
Review/comment on draft Capping Proposed Plan	4/02	5/02	32
<b>SUBTOTAL: 64 hrs.</b>			
<b><u>Activity 2 -</u></b> Review/comment on EE/CA Supplement	12/01	2/02	32
<b>SUBTOTAL: 32 hrs.</b>			
<b><u>Activity 3 -</u></b> Review Institutional Controls Implementation Work Plan	7/01	8/01	16
<b>SUBTOTAL: 16 hrs.</b>			
<b><u>Activity 4 -</u></b> Meetings with: U.S. EPA Community Participation PVS TAC	Ongoing Ongoing Ongoing	Ongoing Ongoing Ongoing	72 8 58
<b>SUBTOTAL: 138 hrs.</b>			
<b>Travel Budget: \$460</b>			
<b>TOTAL HOURS: 250</b>			<b><u>250</u></b>

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLANS SUMMARY**

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**SAN GABRIEL VALLEY SITES  
PROJECT CODES: VARIOUS**

<b><u>SAN GABRIEL VALLEY OUs</u></b>	<b>HOURS</b>
<b>OVERALL BASIN</b>	<b>80</b>
<b>BALDWIN PARK</b>	<b>120</b>
<b>EL MONTE</b>	<b>60</b>
<b>SOUTH EL MONTE</b>	<b>60</b>
<b>PUENTE VALLEY WHITTIER NARROWS</b>	<b>60 300</b>
<b>Travel Budget: \$ 1,250</b>	
<b>TOTAL HOURS: 680</b>	

See attached individual work plans for details

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-BASINWIDE  
PROJECT CODE: 300133**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – Technical Support</u></b> Overall Basin Wide Issues  <b>SUBTOTAL: 40 hours</b>	Ongoing	Ongoing	40
<b><u>Activity 2 – Project Coordination</u></b> Overall Basin Wide Coordination Issues  <b>SUBTOTAL: 40 hours</b>	Ongoing	Ongoing	40
<b>Travel Budget: \$ 147</b>			
<b>TOTAL HOURS: 80 hours</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY – BALDWIN PARK  
PROJECT CODE: 300345**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Monitor RD/RA negotiations  <b>SUBTOTAL: 30 hours</b>	Ongoing	Ongoing	30
<b><u>Activity 2 –</u></b> Technical review of Pre-design and design documents  <b>SUBTOTAL: 60 hours</b>		Upon submittal	60
<b><u>Activity 3 –</u></b> Attend technical and interagency Coordination meetings  <b>SUBTOTAL: 30 hours</b>	Ongoing	Ongoing	30
<b>Travel Budget: \$ 221</b>			
<b>TOTAL HOURS: 120</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-EL MONTE  
PROJECT CODE: 300502**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 –</u></b> Review Pre-design submittals  <b>SUBTOTAL: 20 hours</b>	Ongoing	Upon submittal	20
<b><u>Activity 2 –</u></b> Attend technical meetings  <b>SUBTOTAL: 20 hours</b>		As required	20
<b><u>Activity 3 –</u></b> Monitor RD/RA negotiations  <b>SUBTOTAL:</b>		Upon initiation Of RD/RA	20
<b>Travel Budget: \$ 110</b>			
<b>TOTAL HOURS: 60</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-SO. EL MONTE  
PROJECT CODE: 300347**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – RD /RA</u></b> Monitor RD/RA negotiations  <b>SUBTOTAL: 20 hours</b>		Upon initiation Of negotiations	20
<b><u>Activity 2 – Pre-design</u></b> Review & comment on pre-design docs  <b>SUBTOTAL: 20 hours</b>		Upon Submittal	20
<b><u>Activity 3 – Meetings</u></b> Attend meetings  <b>SUBTOTAL: 20 hours</b>	Ongoing	Ongoing	20
<b>Travel Budget: \$ 110</b>			
<b>TOTAL HOURS: 60</b>			



**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-PUENTE VALLEY OU  
PROJECT CODE: 300346**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<b><u>Activity 1 – Pre-design</u></b> Review & comment on Pre-design Submittals which are not expected until end of year at the earliest  <b>SUBTOTAL: 20 hours</b>		Upon submittal	20*
<b><u>Activity 2 – RD/RA</u></b> Monitor RD/RA negotiations  <b>SUBTOTAL: 20 hours</b>	Ongoing	Ongoing	20
<b><u>Activity 3 – Meetings</u></b> Attend Technical & Coordination meetings  <b>SUBTOTAL: 20 hours</b>	Ongoing	Ongoing	20
<b>Travel Budget: \$110</b>			
<b>TOTAL HOURS: 60 hours</b>			

**REGION 9 SUPERFUND PROGRAM  
DTSC MULTI-SITE COOPERATIVE AGREEMENT  
FY 01/02 SITE WORK PLAN**

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**SAN GABRIEL VALLEY-WHITTIER NARROWS OU  
PROJECT CODE: 300132**

<b>ACTIVITY (DESCRIPTION OF WORK &amp; DELIVERABLES)</b>	<b>SUBMIT TO DTSC</b>	<b>DTSC RESPONSE</b>	<b>HOURS</b>
<u><b>Activity 1 – Technical Review</b></u> Design related documents  <b>SUBTOTAL: 50 hours</b>	Ongoing	Upon submittal	50
<u><b>Activity 2 – Technical Support</b></u> RA Construction  <b>SUBTOTAL: 100 hours</b>		Upon initiation Of RA construction	100
<u><b>Activity 3 – Technical Support</b></u> Water Reuse Permit  <b>SUBTOTAL: 25 hours</b>	Ongoing	Ongoing	25
<u><b>Activity 4 – Meetings</b></u> Attend Technical & Coordination  <b>SUBTOTAL: 50 hours</b>		As required	50
<u><b>Activity 5 – Monitoring Activities</b></u> Related to procurement of O & M Contract with Water Purveyor  <u><b>Activity 6 – Contract Amendment</b></u> State Superfund Contract  <b>SUBTOTAL: 75 hours</b>			25          50
<b>Travel Budget: \$552</b>			
<b>TOTAL HOURS: 300</b>			

## **ASSURANCES AND CERTIFICATIONS**

**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.  
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Deputy Director, Site Mitigation Program	
APPLICANT ORGANIZATION California Department of Toxic Substances Control		DATE SUBMITTED April 13, 2001	

RECIPIENT CERTIFICATION  
FOR RECIPIENTS OTHER THAN INDIVIDUALS

DRUG-FREE WORKPLACE ACT OF 1988

The certification set forth below is a material representation of fact upon which reliance was placed when the agency determined to award the assistance agreement. If it is later determined that the recipient knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the Agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

A. The grantee certifies that it will provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing a drug-free awareness program to inform employees about --

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --

(1) Abide by the terms of the statement; and

(2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;

# U.S. Environmental Protection Agency

## CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Ms. Dorothy Rice, Deputy Director, Site Mitigation Program  
Typed Name & Title of Authorized Representative

Dorothy Rice  
Signature of Authorized Representative

4-16-01  
Date

\_\_\_\_ I am unable to certify to the above statements. My explanation is attached.

## CERTIFICATION REGARDING LOBBYING

### Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Ms. Dorothy Rice, Deputy Director, Site Mitigation Program

TYPED NAME & TITLE OF AUTHORIZED REPRESENTATIVE

Dorothy Rice 4-16-01  
SIGNATURE OF AUTHORIZED REPRESENTATIVE DATE

       I am unable to certify to the above statements. My explanation is attached.



## EPA PROCUREMENT SYSTEM CERTIFICATION

APPLICANT'S  
NAME: Department of Toxic Substances Control

ASSISTANCE APPLICATION NUMBER \_\_\_\_\_

APPLICANT'S ADDRESS 1001 I Street, Floor 11-4,  
Sacramento, California 95812-0806

### SECTION I - INSTRUCTIONS

The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.

### SECTION I - CERTIFICATION

A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system complies with 40 CFR Part 33 and that the system meets the requirements in 40 CFR Part 33. The date of the applicant's latest certification is:

MONTH/YEAR \_\_\_\_\_

B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: *(Check one of the following.)*

☐ 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 33 before undertaking any procurement action with EPA assistance.

Please furnish citations to applicable procurement ordinances and regulations:

☐ 2. DO NOT CERTIFY THE APPLICANT'S PROCUREMENT SYSTEM. The applicant agrees to follow the requirements of 40 CFR Part 33, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use assistance.

TYPED NAME AND Title

Ms. Dorothy Rice, Deputy Director  
Site Mitigation Program, Department of Toxic Substances Control

SIGNATURE

DATE

Dorothy Rice

4-16-01

**SUMMARY OF REQUIREMENTS FOR PROCUREMENT UNDER ASSISTANCE AGREEMENTS**  
**(40 CFR Part 31 and Part 35, Subpart O)**

<b>TITLE</b>	<b>SUMMARY OF REQUIREMENT</b>	<b>SECTION</b>
<b>Procurement Standard</b>	<b>Recipients and contractors must perform in accordance with all applicable requirements.</b>	<b>35.6550 31.36(b)</b>
<b>Competition</b>	<b>Recipients must conduct all procurement transactions in a manner maximum full and open competition.</b>	<b>35.6555 31.36(c)</b>
<b>Master List of Debarred, Suspended, and Voluntarily Excluded Persons</b>	<b>Recipients and their contractors must comply with the requirements providing regarding awards and subawards to debarred and suspended parties.</b>	<b>35.6560 31.35</b>
<b>Procurement Methods</b>	<b>Recipients must comply with all requirements regarding small purchase, sealed bids, competitive proposals, and noncompetitive proposals.</b>	<b>35.6565 31.36(d)</b>
<b>Use of the Same Engineer During Subsequent Phases of the Project</b>	<b>Recipient procedures for using the same engineer for follow-on services must meet procurement requirements.</b>	<b>35.6570</b>
<b>Restrictions on Types on Contracts</b>	<b>Recipients must comply with requirements regarding prohibited contracts, contracts used under a removal cooperative agreement, and time and material contracts.</b>	<b>35.6575</b>
<b>Contracting with MBE/WBE, Small Business and Labor Surplus Firms</b>	<b>Recipients must comply with the six specified steps to ensure these businesses are used whenever possible.</b>	<b>35.6580 31.36(e)</b>
<b>Cost and Price Analysis</b>	<b>Recipients must conduct and document a cost or price analysis in connection with every procurement action.</b>	<b>35.6585 31.36(f)</b>
<b>Bonding and Insurance</b>	<b>Recipients must meet the specified requirements for bonding and insurance.</b>	<b>35.6590 31.36(h)</b>
<b>Contract Provisions</b>	<b>Each contract must be a sound and complete agreement and include the specified provisions.</b>	<b>35.6595 31.36(i)</b>
<b>Contractor Claims</b>	<b>Recipients must conduct an administrative and technical review of each claim and follow other specified requirements.</b>	<b>35.6600</b>
<b>Privity of Contract</b>	<b>Recipients must include a clause in the contract stating that neither EPA nor the United States government shall be party to any contract.</b>	<b>35.6605</b>
<b>Contracts Awarded by a Contractor</b>	<b>Contractors must comply with the specified provisions in the award of a subcontract, including MBE, WBE, cost principles, specifications, responsibilities, etc.</b>	<b>35.6610 31.37</b>



# Department of Toxic Substances Control



Winston H. Hickox  
Agency Secretary  
California Environmental  
Protection Agency

Edwin F. Lowry, Director  
1001 "I" Street, 25th Floor  
P.O. Box 806  
Sacramento, California 95812-0806

Gray Davis  
Governor

May 3, 2001

Ms. Melinda Taplin, Chief  
Grants Management-Section, PMD-7  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, California 94105

Dear Ms. Taplin:

This letter transmits the completed Pre-Award Compliance Review Reports (EPA Form 4700-4) for the CORE, Preliminary Assessment/Site Inspection (PA/SI), and Multi-Site Cooperative Agreement (MSCA) grants. Questions related to the proposed projects, programs or activities should be addressed to Ms. Carol O'Bryant, Chief, Grants Administration Unit at (916) 323-3372.

Sincerely,

Dorothy Rice, Deputy Director  
Site Mitigation Program  
Department of Toxic Substances Control

Enclosures

cc: Ms. Carolyn Truong, Grants Specialist  
Grants Management Section, PMD-7  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Melinda Taplin  
May 3, 2001  
Page Two

cc: Ms. Rachel Loftin, Project Officer  
State Tribes Assessment Office, SFD-5  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Mr. Jerome Ellis, Project Officer  
Site Cleanup Branch, ORA  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Carol O'Bryant, Chief  
Grants Administration Unit  
Department of Toxic Substances Control  
1001 "I" Street, FLR 11-4  
Sacramento, CA 95812-0806

United States Environmental Protection Agency Washington, DC 20460 Preaward Compliance Review Report for All Applicants Requesting Federal Financial Assistance		FORM Approved OMB No. 2090-0014 Expires 4-30-99
<b>Note: Read instructions on reverse side before completing form.</b>		
<b>I. A. Applicant (Name, City, State)</b> State of California Department of Toxic Substances Control, Sacramento	<b>B. Recipient (Name, City, State)</b> State of California Department of Toxic Substances Control, Sacramento	<b>C. EPA Project No.</b> SEE ATTACHMENT
<b>II. Brief description of proposed project, program or activity.</b> SEE ATTACHMENT		
<b>III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient?</b> If yes, list those complaints and the disposition of each complaint. <span style="float: right;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</span> SEE ATTACHMENT		
<b>IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance?</b> If yes, list those compliance reviews and status of each review. <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
<b>V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity?</b> If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of Assistance. <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>		
<b>VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why.</b> NOT APPLICABLE		
<b>VII. Population Characteristics</b>		NOT APPLICABLE
<b>1. A. Population of Entire Service Area</b>		Number of People
<b>B. Minority Population of Entire Service Area</b>		
<b>2. A. Population Currently Being Served</b>		
<b>B. Minority Population Currently Being Served</b>		
<b>3. A. Population to be Served by Project, Program or Activity</b>		
<b>B. Minority Population to be Served by Project, Program or Activity</b>		
<b>4. A. Population to Remain Without Service</b>		
<b>B. Minority Population to Remain Without Service</b>		
<b>VIII. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons?</b> If no, explain how a regulatory exception (40 CFR 7.70) applies. <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span> NOT APPLICABLE		
<b>IX. Give the schedule for future projects, programs or activities (or of future plans), by which services will be provided to all beneficiaries within applicant's jurisdiction. If there is no schedule, explain why.</b> SEE ATTACHMENT		
<b>X. I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.</b>		
<b>A. Signature of Authorized Official</b> 	<b>B. Title of Authorized Official</b> Deputy Director, Site Mitigation Program California Department of Toxic Substances Control	<b>C. Date</b> 5-3-01
For the U.S. Environmental Protection Agency		
<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Authorized EPA Official	Date

## PREAWARD COMPLIANCE REVIEW REPORT

I.A. Applicant: State of California  
Department of Toxic Substances Control  
Site Mitigation Program  
Sacramento, California

I.B. Recipient: State of California  
Department of Toxic Substances Control  
Site Mitigation Program  
Sacramento, California

I.C. EPA Project No.

EPA Project Number VC-999043-07-0  
CORE Cooperative Agreement

II. Brief description of proposed project, program or activity.

The project is for the non-site specific Management, Cost Recovery and Administrative Support Services under the State CORE Superfund Program.

EPA Project No.

EPA Project Number V-009404-8-0  
Multi-Site Cooperative Agreement (MSCA)

II. Brief description of proposed project, program or activity.

The project is for Superfund Multi-Site Management Assistance to provide oversight of Federal National Priority List sites located throughout the State of California.

EPA Project No.

EPA Project Number V-999252-03-1  
Preliminary Assessment/Site Inspection Cooperative Agreement

II. Brief description of proposed project, program or activity

The project is to conduct Preliminary Assessments, Site Inspections, Site Screenings and Site Discovery activities under the authority of the Comprehensive Environmental Response, Comprehension and Liability Act (CERCLA) of 1980 and the Superfund Amendments and Reauthorization Act (SARA) of 1986

**DEPARTMENT OF TOXIC SUBSTANCES CONTROL  
OFFICE OF LEGAL COUNCIL/OFFICE OF CIVIL RIGHTS  
DISCRIMINATION COMPLAINT/LAW SUIT PENDING CASES  
May 1, 2001**

TYPE (COMPLAINT/ LAW SUIT)	DATE FILED	PROTECTED GROUP	STATUS
EEOC	10-31-00	RACE	<ul style="list-style-type: none"><li>▪ EEOC RESPONSE SENT 01-31-01</li><li>▪ SUPPLEMENTAL RESPONSE SENT 03-27-01</li></ul>
LAW SUIT	12/13/97	NATIONAL ORIGIN	<ul style="list-style-type: none"><li>▪ SET FOR TRIAL 09-2001</li></ul>
LAW SUIT	10/05/00	DISABILITY	<ul style="list-style-type: none"><li>▪ EARLY DISCOVERY STAGE OF LITIGATION</li></ul>



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IX  
75 Hawthorne Street  
San Francisco, CA 94105

Approved  
mm

**MEMORANDUM**

DATE: May 17, 2001

SUBJECT: Approval of Funds for the Department of Toxic Substances Control Multi-Site Cooperative Agreement Application and Workplan - EPA ID#: V-009404-08-0

FROM: Jerome Ellis *JE*  
Project Officer, SFD-7

THRU: John Kemmerer, Branch Chief *JK*  
Superfund Site Cleanup Branch SFD-7

TO: Melinda Taplin  
Grants Management Officer, PMD-7

**WORKPLAN APPROVAL**

I was certified as a Grant Project Officer on 07/27/00

I have reviewed the Department of Toxic Substances Control application, dated April 16, 2001, in the amount of \$650,000.00. Because of budget constraints, I hereby approve the application and workplan in the amount of \$600,000.00 which includes \$600,000.00 of Federal funds. Based on my review of the recipient's proposed budget and workplan, the costs in the application appear to be reasonable and eligible.

- The approved application will fund the budget and project periods beginning July 1, 2001 and ending June 30, 2003.
- The objective of the project is to include state support on Federal National Priorities List (Superfund) sites. Specific details are contained in the approved workplan.



### **ACQUISITION VS ASSISTANCE**

In accordance with the Federal Grant and Cooperative Agreement Act of 1977 and EPA Order 5700.1, I have determined that an assistance agreement is the appropriate legal instrument to fund this application because the direct beneficiary is the State and the public. The activities funded by this agreement support a public purpose and are not for the direct benefit or use of EPA. In addition, a cooperative agreement is appropriate because there is substantial EPA involvement.

### **STATUTORY AUTHORITY**

The statutory authority for the program is the Comprehensive Environmental Response, Compensation and Liability Act of 1980 as amended by Superfund Amendments and Reauthorization Act, Section 104. This program is allowable under this Section because the Department of Toxic Substances Control is the designated lead agency in the State of California.

### **DELEGATION OF AUTHORITY**

This assistance agreement is covered by EPA-HQ's Delegation of Authority number 14-4-A, dated September 13, 1987.

### **COMPETITION**

This award was not subject to competition because the Department of Toxic Substances Control is the designated lead State agency in the State of California.

### **QUALITY ASSURANCE**

This grant does not include the performance of environmental measurements. Therefore, a Quality Assurance Project Plan (QAPP) is not required.

### **FUNDING ACTION**

This represents a 2 year grant in the total amount of \$600,000.00. Please award \$300,000.00 for year 1.

### **UNEXPENDED FUNDS STATUS**

Upon receipt of the final Financial Status Report and amended application, if necessary, I will request the re-award of any actual unexpended funds from the previous grant (V-009404-07-01).

### **GRANT PACKAGE REVISION**

The attached matrix which identifies site specific ID numbers is for drawdown purposes.

### **PROGRAM CONDITIONS**

Additional Programmatic terms and conditions are not needed in the award itself.

### **COMMITMENT NOTICE**

Attached is a copy of the Commitment Notice request that has been completed by the Divisions Administrative contact.

If there are any questions, please call Jerome Ellis at (415) 744-1002 or 744-2414.

Attachments: Commitment Notice, if applicable  
Workplan Approval Letter, if applicable

cc: Ivania Brown, SFD-1  
Division Administrative Contact

**Jerome Ellis**

05/17/01 03:59 PM

To: Rachel Loftin/R9/USEPA/US@EPA

cc: Carolyn Truong/R9/USEPA/US@EPA, Betsy

Curnow/R9/USEPA/US@EPA, Melinda Taplin/R9/USEPA/US@EPA

Subject: Completion of Grant Application Process

I just came back from talking to Carolyn and have given her the new workplans for Sulphur Bank and Frontier Fertilizer as well as a new budget spreadsheet. A revised document is needed for Form 424A which is a budget information sheet and Carolyn has said that she will work with Carol O'Bryant to obtain it. The PO Decision letter and Commitment Notice have been signed and the Commitment Notice is in the Program Management Office for budget approvals and entry into IFMS. As soon as that is finished, I will be forwarding them both to the Grants Office.

I am gratified to finish this up and both you and Carolyn couldn't have been more helpful. You have been wise, gentle and generous with your time. Thank you.

# COMMITMENT NOTICE

NOTE: PREPARATION AND APPROVAL OF THIS FORM DOES NOT CONSTITUTE AN OBLIGATION OF MONEY. THE USE OF THIS FORM IS INTENDED TO GUARANTEE AVAILABILITY

THIS COMMITMENT TRANSACTION IN THE AMOUNT OF \$ 300,000.00 IS FOR:

- ☒ GRANT (NUMBER: 00940408-0 ) 
 ☐ A PURCHASE REQUISITION 
 ☐ OTHER (SPECIFY): 
 ☐ A CONTRACT

DESCRIPTION OF PROJECT, GOODS, OR SERVICES DTSC Multi-Site Cooperative Agreement (Year 2001-2002)

NAME OF GRANTEE / CONTRACTOR / VENDOR

California Department of Toxic Substances Control

EMPLOYEE IDENTIFICATION NO. (EIN)

TASK, ROAP, OR OTHER LOCAL IDENTIFIER

SPECIAL COMMENTS OR INSTRUCTIONS

Code 09-WQ-MS-00

## ALLOWANCE HOLDER APPROVALS (OPTIONAL AT DISCRETION AT ALLOWANCE HOLDER)

ALLOWANCE HOLDER TITLE

## STAFF APPROVALS

SIGNATURE

DATE

PHONE

Jerome Ellis, Project Officer

05/17/01

744-1002/2414

John Kemmerer, Branch Chief SPD-7

5/17/01

744-2401

Keith Takata, Director - Superfund Division

5-17

RESPONSIBILITY CENTER TITLE

## FUNDS CERTIFICATION

PREPARED BY

APPROVED BY

SIGNATURE

SIGNATURE

DATE

DATE

## FOR RESPONSIBILITY CENTER USE ONLY

CONTRACT NEGOTIATION IS ☐ IS NOT ☐ AUTHORIZED TO EXCEED AMOUNT SHOWN ABOVE BY UP TO 10% WITHOUT SECURING FURTHER APPROVAL FUNDS

THE AMOUNT OF MONEY SHOWN IS:

- ☐ AN ORIGINAL COMMITMENT 
 ☐ AN INCREASE TO A PREVIOUS COMMITMENT 
 ☐ A DECREASE TO A PREVIOUS COMMITMENT

## FINANCIAL DATA (SEE INSTRUCTIONS ON REVERSE BEFORE FILLING OUT)

SFO: ☐

PE: \_\_\_\_\_

APPROPRIATION#: \_\_\_\_\_

DCN	FY	APPR. CODE	ORG. CODE	PE	BOC	AMOUNT \$	(Cents)	SITE PROJECT	COST. ORG
HJ1067	01	T	9AKOP	50102D	4185	300,000	00	0900MS00	C031

ACTION: R SCREEN: REQL USERID: MSFI 05/18/01 05:23:45 PM  
\*\*\* REQUISITION ACCOUNTING LINE INQUIRY TABLE \*\*\*  
KEY IS TRANS CODE, REQ NO, LINE NO

TRANS CODE: RQ REQ NO: 019AHJ1067

01- LINE NO:	001	BFY:	2001	APPR:	T	RPIO:	09	
	BUDGET ORG:	9AK0P		PE:	50102D	LINE AMT:		300,000.00
	COST ORG:	C031		SITE/PROJ:	0900MS00	CLOSED AMT:		0.00
	BOC:	4185		RPTG CATG:		OBLG AMT:		0.00
	LAST CHG STATUS:			DESCRIPTION:	COOP AGREEMENT			
02- LINE NO:		BFY:		APPR:		RPIO:		
	BUDGET ORG:			PE:		LINE AMT:		
	COST ORG:			SITE/PROJ:		CLOSED AMT:		
	BOC:			RPTG CATG:		OBLG AMT:		
	LAST CHG STATUS:			DESCRIPTION:				
03- LINE NO:		BFY:		APPR:		RPIO:		
	BUDGET ORG:			PE:		LINE AMT:		
	COST ORG:			SITE/PROJ:		CLOSED AMT:		
	BOC:			RPTG CATG:		OBLG AMT:		
	LAST CHG STATUS:			DESCRIPTION:				

02-\*L009 HEADER CHANGE

## **AMENDMENT 1 w/SUPPORTING DOCUMENTS**



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION IX

75 Hawthorne Street  
San Francisco, CA 94105

JUN 11 2002

COPY

Certified Mail -Return Receipt

Dorothy Rice  
Deputy Director  
California Department of Toxic Substances Control  
P.O. Box 806  
Sacramento, CA 95812

Re: Grant ID #V-00940408-1/V-00940407-2  
Program/Project Title: Superfund Multi-Site Support Agency Cooperative  
Agreement (MSCA)

Dear Ms. Rice:

The United States Environmental Protection Agency (EPA) is pleased to offer an Amendment to the program described in the enclosed agreement. Accompanying this Amendment, is a closeout Amendment which decreases the EPA assistance amount based on your final Financial Status Report. **If you wish to accept this offer, please sign and date both enclosed agreements and return one signed original to the Grants Management Office, PMD-7, within three weeks.** The agreement which is marked *Recipient's Copy* should be retained for your records.


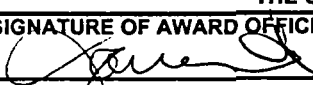
**Please read the enclosed Agreement and any conditions prior to your acceptance.** If you or your staff have any questions of a programmatic nature, please contact your EPA Project Officer. Questions relating to administrative or fiscal matters should be directed to your EPA Grant Specialist.

Sincerely,

Jane Diamond  
Acting Director  
Superfund Division

Enclosures

cc: Sue Simms, CA DTSC (w/attach)  
Karen Poon, CA DTSC (w/attach)  
Harriet Kiyan, CA DTSC (w/attach)

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Assistance Amendment</b>		ASSISTANCE ID NO.		DATE OF AWARD <b>JUN 04 2002</b>	
			PRG	DOC ID		AMEND#
			V - 00940408 - 1			
			TYPE OF ACTION Augmentation: Increase			MAILING DATE <b>JUN 11 2002</b>
PAYMENT METHOD: ACH			ACH# 0921			
RECIPIENT TYPE: State			Send Payment Request to: N/A			
RECIPIENT: CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812 EIN:			PAYEE: CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812			
PROJECT MANAGER Sue Simms P.O. Box 806 Sacramento, CA 95812 E-Mail: Phone: (916) 445-3601		EPA PROJECT OFFICER Leslie Owyang-Chin 75 Hawthorne Street, SFD-7 San Francisco, CA 94105 E-Mail: Owyang-Chin.Leslie@epa.gov Phone: 415-972-3001		EPA GRANT SPECIALIST Carolyn Truong Grants Management Office, PMD-7 E-Mail: Truong.Carolyn@epa.gov Phone: 415-972-3757		
<b>PROJECT TITLE AND DESCRIPTION</b> SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA) This amendment increases the EPA funding amount by \$315,000 which includes \$15,438 of unexpended funds from V009404-07-2. The revised total EPA approved amount is \$615,000. All terms and conditions of the initial agreement awarded on June 13, 2001 remain in full force and effect.						
BUDGET PERIOD 07/01/2001 - 06/30/2003		PROJECT PERIOD 07/01/2001 - 06/30/2003		TOTAL BUDGET PERIOD COST \$615,000.00		
				TOTAL PROJECT PERIOD COST \$615,000.00		
<b>NOTE:</b> The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
<b>OFFER AND ACCEPTANCE</b>						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>CA Dept of Toxic Subs Control</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$615,000</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>04/30/2002</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS U.S. EPA, Region 9 Grants Management Office, PMD-7 75 Hawthorne Street San Francisco, CA 94105			ORGANIZATION / ADDRESS U.S. EPA, Region 9 Superfund Division 75 Hawthorne Street San Francisco, CA 94105			
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>						
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Jane Diamond, Acting Director - Superfund Division		DATE <b>JUN 04 2002</b>		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>						
SIGNATURE  		TYPED NAME AND TITLE Dorothy Rice, Deputy Director		DATE		



## V - 00940408 - 1 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 300,000	\$ 299,562	\$ 599,562
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$ 15,438	\$ 15,438
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 300,000	\$ 315,000	\$ 615,000

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
-	H2P056	2002	T	9AK0P	50102D	4185	0900MS00	C034	299,562
									299,562

## Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$243,915
2. Fringe Benefits	\$68,811
3. Travel	\$14,410
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$327,136
10. Indirect Costs: % Base <u>SEE BELOW</u>	\$287,864
11. Total (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.)	\$615,000
12. Total Approved Assistance Amount	\$615,000
13. Program Income	\$0

## Detailed Table B Budget Page: 1

Table B - Program Element Classification (Non-construction)	Total Approved Allowable Budget Period Cost
1. TABLE A, LINE 10, INDIRECT COSTS BASE	\$
2. IS TOTAL PERSONNEL AND FRINGE.	\$
3. VARIOUS RATES:	\$
4. 07/01/01-06/30/02 ICR 80%	\$
5. 07/01/02-06/30/03 ICR 105%	\$
6.	\$
7. NO CAP IS ALLOWED	\$
8.	\$
9.	\$
10.	\$
11. Total (Share: Recip % Fed %)	\$
12. Total Approved Assistance Amount	\$



# Department of Toxic Substances Control



Edwin F. Lowry, Director  
1001 "I" Street, 25<sup>th</sup> Floor  
P.O. Box 806  
Sacramento, California 95812-0806

Winston H. Hickox  
Agency Secretary  
California Environmental  
Protection Agency

Gray Davis  
Governor

July 8, 2002

Ms. Melinda Taplin, Chief  
Grants Management Section PMD-7  
U.S. EPA, Region IX  
75 Hawthorne Street, H-1  
San Francisco, California 94105

Dear Ms. Taplin:

The Department of Toxic Substances Control (DTSC) has received the following Multi-Site Cooperative Agreement (MSCA) grant award offers:

V-00940408-1, for the period of July 1, 2001-June 30, 2003

V-00940407-2, for the period of July 1, 1999-June 30, 2001

→ 2 yr / \$ 1 yr  
→ 1 yr

DTSC accepts the terms and conditions set forth in the award offers dated June 4, 2002 and received June 17, 2002. Enclosed are the original and one copy of the signed awards. DTSC is also retaining a signed copy of the award.

If you have any questions regarding this matter, please contact Ms. Donna Anderson at (916) 323-2962.

Sincerely,

Dorothy Rice  
Deputy Director  
Site Mitigation and Brownfields Reuse Program

Enclosures

cc: See next page

Ms. Melinda Taplin

JUL 08 2002

Page 2

cc: Ms. Jane Diamond, Acting Director  
Superfund Division  
U. S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, California 94105

Ms. Carolyn Truong  
Grant Specialist  
Grants Management Office, PMD-7  
U. S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Leslie Owyang-Chin  
Project Officer  
Field Operations, SFC-9-1  
U. S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105

Ms. Leslie Frye  
Deputy Director  
Administrative Services  
Department of Toxic Substances Control  
1001 "I" Street, MS-FLR 21-3  
Sacramento, California 95812-0806

Mr. Steve Koyasako  
Assistant Chief  
Office of Legal Counsel  
Department of Toxic Substances Control  
1001 "I" Street, MS-FLR 23-1  
Sacramento, California 95812-0806

Ms. Harriet Kiyon, Chief  
Financial Operations  
Administrative Services  
Department of Toxic Substances Control  
1001 "I" Street, MS-FLR 21-3  
Sacramento, California 95812-0806

Ms. Melinda Taplin

JUL 08 2002

Page 3

cc: Ms. Vicki Vandergriff, Chief  
Budget Office  
Department of Toxic Substances Control  
1001 "I" Street, MS-FLR 21-2  
Sacramento, California 95812-0806

Mr. Charles Massey  
Acting Chief  
Fiscal Systems  
Department of Toxic Substances Control  
1001 "I" Street, MS-FLR 21-2  
Sacramento, California 95812-0806

Ms. Karen Poon, Chief  
Administrative Services  
Department of Toxic Substances Control  
1001 "I" Street, MS-FLR21-1  
Sacramento, California 95812-0806

# RETURN TO EPA, PMD-7

V - 00940408 - 1 Page 1

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Assistance Amendment</b>		ASSISTANCE ID NO.		DATE OF AWARD JUN 04 2002
			PRG V -	DOC ID 00940408	
			TYPE OF ACTION Augmentation: Increase		MAILING DATE JUN 11 2002
			PAYMENT METHOD: ACH		ACH# 0921
RECIPIENT TYPE: State			Send Payment Request to: N/A		
RECIPIENT: CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812 EIN:			PAYEE: CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812		
PROJECT MANAGER Sue Simms P.O. Box 806 Sacramento, CA 95812 E-Mail: Phone: (916) 445-3601		EPA PROJECT OFFICER Leslie Owyang-Chin 75 Hawthorne Street, SFD-7 San Francisco, CA 94105 E-Mail: Owyang-Chin.Leslie@epa.gov Phone: 415-972-3001		EPA GRANT SPECIALIST Carolyn Truong Grants Management Office, PMD-7 E-Mail: Truong.Carolyn@epa.gov Phone: 415-972-3757	
<b>PROJECT TITLE AND DESCRIPTION</b> SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA) This amendment increases the EPA funding amount by \$315,000 which includes \$15,438 of unexpended funds from V009404-07-2. The revised total EPA approved amount is \$615,000. All terms and conditions of the initial agreement awarded on June 13, 2001 remain in full force and effect.					
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<b>OFFER AND ACCEPTANCE</b>					
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>CA Dept of Toxic Subs Control</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$615,000</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>04/30/2002</u> included herein by reference.					
<b>ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)</b>			<b>AWARD APPROVAL OFFICE</b>		
<b>ORGANIZATION / ADDRESS</b>			<b>ORGANIZATION / ADDRESS</b>		
U.S. EPA, Region 9 Grants Management Office, PMD-7 75 Hawthorne Street San Francisco, CA 94105			U.S. EPA, Region 9 Superfund Division 75 Hawthorne Street San Francisco, CA 94105		
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>					
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Jane Diamond, Acting Director - Superfund Division		DATE JUN 04 2002	
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.					
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>					
SIGNATURE 		TYPED NAME AND TITLE Dorothy Rice, Deputy Director		DATE 6.18.02	

V - 00940408 - 1 Page 2

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Allowable Project Cost	\$ 300,000	\$ 315,000	\$ 615,000

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	H2P056	2002	T	9AK0P	50102D	4185	0900MS00	C034	299,562
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8.	\$
9.	\$
10.	\$
11. Total (Share: Recip % Fed %)	\$
12. Total Approved Assistance Amount	\$



**SUPPORTING DOCUMENTS: GRANT APPLICATION  
TRANSMITTAL SHEET, EPA FUNDING  
RECOMMENDATION, AWARD & COMMITMENT  
NOTICE**

**FINANCIAL ASSISTANCE APPLICATION**

DATE: 5/14/02

TO: Leslie Ouyang-Chin MAIL CODE: SFD-7-  
CERTIFIED PROJECT OFFICER YES ☒ NO ☐ \*\*  
CC: \_\_\_\_\_ (Supervisor)

FROM: Carolyn Truong, Grants Specialist  
Grants Management Office, PMD-7

APPLICANT CA Dept. of Toxic Substances Control CHECK ADDRESS ☐

GRANT I.D.# V-009404-08-1 PROGRAM Superfund MSCA

☐ Attached is an application from the above-mentioned applicant. Please prepare a Decision Memo, route it through your immediate supervisor, through Quality Assurance Program, and to the Grants Management Office (GMO). In addition, attach a copy of the Commitment Notice or copy of the request to the Division's Administrative Contact  
**NOTE:** Please go to the "Region 9 Grants Online" database (Apps1) to access the most current WordPerfect version of the fill-in-the-blank Decision Memo. The IRM Help Line (7-8023) is available to assist should you have difficulty accessing the database.

☒ Attached is an application from the above-mentioned applicant. Using IGMS, please prepare a Funding Recommendation (FR) and electronically route it through the Quality Assurance Program (and others, as applicable), and your immediate supervisor. Once you have the final FR & final commitment notice (CN), notify the Grant Specialist listed above, Grants Management Office (GMO). For IGMS questions, call Alba Espitia, 2-3667 or Renee Chan, 2-3675.

**NOTE:** Please go to the "Region 9 Grants Online" database (Apps1) to access supplemental information for the FR. When you've completed the "Supplement to FR", either cut & paste OR attach the file to the FR "attachment" field (above Project Funding table). The IRM Help Line (7-8023) is available to assist should you have difficulty accessing the database.

The Project Officer Decision Memo should address the issues mentioned below, as applicable:

- Approval of Work Plan/Program Objectives and specific amount of Federal funding;
- Reflect appropriate Statutory Authority, Delegation of Authority, and Acquisition vs Assistance.
- Itemized Equipment Approval and Amount(s).  
(If AIR recipient, specific identification of AMD approved nonrecurrent expenditures);
- Quality Assurance (QA) Plan approval date or a statement that a QA plan is unnecessary (all decision memos need to be signed by QAP, PMD-3, unless exempted from sign-off refer to 3/17/00 QA memo);
- Narrative of specific programmatic special conditions to be placed in cooperative agreement;
- Other issues specific to this program and/or award.

For **continuing programs**, formal written notice of application status from EPA to the recipient is expected within 45 days of application and a final decision is required by regulation within 60 days.

\*\* BASED ON NATIONAL POLICY, GRANT PROJECT OFFICERS MUST BE CERTIFIED. OUR RECORDS SHOW THAT YOU ARE NOT A CERTIFIED PROJECT OFFICER. PLEASE SUBMIT YOUR DECISION MEMO AND COMMITMENT NOTICE FROM A CERTIFIED PROJECT OFFICER.

Revised 11/16/01  
g:\user\share\opm\pmd7\forms\routeapp

**RECORDS CENTER DOES NOT HAVE COPY OF  
GRANT APPLICATION**

Document Readers



## EPA Funding Recommendation

Title: CA DTSC MSCA

Document Status

Document Phase: Final

Last Modified: 07/11/2002

Current Editor: Leslie Owyang-Chin

Previous Editor: John Kemmerer

Delegate: Rachel Loftin

Project Information Section

Grant Number: 00940408-1

Grant Type: Non-Construction

Action Type: Continuation

Applicant Name: CDTSC - CA Dept of Toxic Subs Control

Project Title: CA DTSC MSCA

Amount Requested: 299,562

CFDA: 66.707 - State Lead Program Grants

66.802 - Superfund State Site Specific Cooperative Agreements

Statutory Auth: CERCLA: Sec. 104

Delegation Of Auth: 14-4-A State-Lead Studies and Investigations Related to Remedial Actions / 104(b) & (d)

Media: Superfund

PPG ? No

GICS Program: EA - Capacity Building Grants and Cooperative Agreements for States and Tribes

Project Period Start: 07/01/2001

Project Period End: 06/30/2003

Budget Period Start: 07/01/2002

Budget Period End: 06/30/2003

Project Description: Please provide a description of the work to be accomplished in this project, including the Program Justification for approving this award, and the program objectives that will be accomplished by funding this project.

Project Description: The objective of the project is to include state support on Federal National Priorities List (Superfund) sites. Specific details are contained in the approved workplan.

Project Justification and Characteristics Section

Provide Program Justification for approving this action including the program Objectives that will be accomplished by funding this project (if applicable)?

Justification: N/A

(Attach additional information above.)

I have reviewed and approved the workplan/scope of work and budget for this project and I recommend the Award.

Recommendation: Yes

Is this a Research Grant?

Research: No

Could an invention be one of the results of this project? If yes, provide anticipated disposition instructions.

Invention: No

Acquisition vs. Assistance Determination. Is this project in compliance with EPA Order 5700.1 which requires that the activities funded by this agreement support a public purpose and are not for the direct benefit or use of federal Government?

Compliance: Yes

Was the Application selected through a competitive process?

Competitive: No

Explanation: 1. Competition excluded by statute or by Congressional intent

Attachments:

(Attach additional information above.)

Is this grant a Congressional earmark?

Earmark: No

Quality Assurance: Does this project include taking environmentally related measurements or data generation?

Measurement: No

Is the agreement funded with more than one Program Result Code?

PRCs: No

Does the Project Involve Human Subjects?

Human Subjects: No

Does the Project Involved Animal Subjects?

Animal Subjects: No

Does the work plan include project activities which will be performed entirely or in part outside of the United States?

Outside US: No

Is this project expected to generate Program Income?

Program Income: No

#### Funding Recommendations Attachments

Attachments:

#### Project Funding

Approved Budget	
Cost Category Classification (Nonconstruction)	Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual Personal Services	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Costs	\$0
10. Indirect Costs	\$0
IDC Rate % Base \$	
11. Total	\$0
12. Total Requested Amount	\$299,562
Total Request To Fund Amount	\$299,562

#### Project Funding Information

Is the Funding Partial or Full?

Full

Basis for Match being required by this agreement

Does this Funding Recommendation document require SRO Signature?

No

#### Programmatic Special Conditions

##### Review

Read Access: Controlled

Readers: Veronica Adams

Chris Auriemma

Jinky Callado

Alba Espitia

Linda Ma

Leslie Owyang-Chin

Submitted: 05/08/2002

Rachel Loftin  
Carolyn Truong

Approvers: Vance Fong, David Taylor

Submitted: 05/03/2002

Due Date: 05/16/2002

Response	Approver	Date

#### Associated Commitment Notices

All Commitment Notice Documents in the Database By Grant\_Num

CA DTSC MSCA	\$299,562	Associated
--------------	-----------	------------

#### Commitment Clerk

Commitment Clerk: Leslie Owyang-Chin

Title: Branch Secretary

Phone: 415-972-3001

#### Approval Official Signature

Approval Official: John Kemmerer

Title: Branch Chief - Site Cleanup  
Branch

Phone: 415-972-3131

Delegate: Leslie Owyang-Chin

Title: Branch Secretary

Phone: 415-972-3001

Approval Official Signature: - Signed by Leslie Owyang-Chin/R9/USEPA/US on 05/10/2002 02:10:43 PM, according to /R9/USEPA/US

#### Grant Specialist

Grant Specialist: Carolyn Truong

Title: Grants Management Specialist

Phone: 415-972-3757

Submitted: 05/10/2002

#### Origination Information

Created By: Leslie Owyang-Chin on 04/11/2002

Organization: EPA R9

#### Notifications History

Document Readers



# EPA Funding Recommendation

Title: CA DTSC MSCA

Document Status

Document Phase: Final  
Current Editor: Leslie Owyang-Chin  
Delegate: Rachel Loftin

Last Modified: 07/11/2002  
Previous Editor: John Kemmerer

Project Information Section

Grant Number: 00940408-1	Grant Type: Non-Construction
Action Type: Continuation	
Applicant Name: CDTSC - CA Dept of Toxic Subs Control	
Project Title: CA DTSC MSCA	
CFDA: 66.707 - State Lead Program Grants 66.802 - Superfund State Site Specific Cooperative Agreements	
Statutory Auth: CERCLA: Sec. 104	
Delegation Of Auth: 14-4-A State-Lead Studies and Investigations Related to Remedial Actions / 104(b) & (d)	
Media: Superfund	PPG? No
GICS Program: EA - Capacity Building Grants and Cooperative Agreements for States and Tribes	
Project Period Start: 07/01/2001	Project Period End: 06/30/2003
Budget Period Start: 07/01/2002	Budget Period End: 06/30/2003

The objective of the project is to include state support on Federal National Priorities List (Superfund) sites. Specific details are contained in the approved workplan.

**Project Description:** The objective of the project is to include state support on Federal National Priorities List (Superfund) sites. Specific details are contained in the approved workplan.

Project Justification and Characteristics Section

Justification: N/A  
(Attach additional information above.)

**Recommendation:** Yes

**Research:** No

**Invention:** No

**Compliance:** Yes

**Competitive:** No

**Explanation:** 1. Competition excluded by statute or by Congressional intent

**Attachments:** (Attach additional information above.)

**Earmark:** No

Measurement: No

PRCs: No

Human Subjects: No

Animal Subjects: No

Outside US: No

Program Income: No

#### Funding Recommendations Attachments

Attachments:

#### Project Funding

1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual Personal Services	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Costs	\$0
10. Indirect Costs	\$0
IDC Rate % Base \$	
11. Total	\$0
12. Total Requested Amount	\$299,562
Total Request To Fund Amount	\$299,562

Full

No

#### Programmatic Special Conditions

##### Review

Read Access: Controlled

Readers: Veronica Adams

Chris Auriemma

Jinky Callado

Alba Espitia

Linda Ma

Leslie Owyang-Chin

Rachel Loftin

Carolyn Truong

Submitted: 05/08/2002

Approvers: Vance Fong, David Taylor

Submitted: 05/03/2002

Due Date: 05/16/2002



Approve with Comments	David Taylor	05/03/2002
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#### Associated Commitment Notices

CA DTSC MSCA	\$299,562	Associated
--------------	-----------	------------

#### Commitment Clerk

<b>Commitment Clerk:</b> Leslie Owyang-Chin
<b>Title:</b> Branch Secretary <b>Phone:</b> 415-972-3001

#### Approval Official Signature

<b>Approval Official:</b> John Kemmerer
<b>Title:</b> Branch Chief - Site Cleanup <b>Phone:</b> 415-972-3131
<b>Delegate:</b> Leslie Owyang-Chin
<b>Title:</b> Branch Secretary <b>Phone:</b> 415-972-3001

Approval Official Signature: - Signed by Leslie Owyang-Chin/R9/USEPA/US on 05/10/2002 02:10:43 PM, according to /R9/USEPA/US

#### Grant Specialist

<b>Grant Specialist:</b> Carolyn Truong
<b>Title:</b> Grants Management Specialist <b>Phone:</b> 415-972-3757
<b>Submitted:</b> 05/10/2002

#### Origination Information

**Created By:** Leslie Owyang-Chin on 04/11/2002  
**Organization:** EPA R9

Document Readers



# EPA Funding Recommendation

Title: CA DTSC MSCA

Document Status

Document Phase: Final  
Current Editor: Leslie Owyang-Chin  
Delegate: Rachel Loftin

Last Modified: 07/11/2002  
Previous Editor: John Kemmerer

Project Information Section

Grant Number: 00940408-1	Grant Type: Non-Construction
Action Type: Continuation	
Applicant Name: CDTSC - CA Dept of Toxic Subs Control	
Project Title: CA DTSC MSCA	
CFDA: 66.707 - State Lead Program Grants 66.802 - Superfund State Site Specific Cooperative Agreements	
Statutory Auth: CERCLA: Sec. 104	
Delegation Of Auth: 14-4-A State-Lead Studies and Investigations Related to Remedial Actions / 104(b) & (d)	
Media: Superfund	PPG ? No
GICS Program: EA - Capacity Building Grants and Cooperative Agreements for States and Tribes	
Project Period Start: 07/01/2001	Project Period End: 06/30/2003
Budget Period Start: 07/01/2002	Budget Period End: 06/30/2003



Project Description: The objective of the project is to include state support on Federal National Priorities List (Superfund) sites. Specific details are contained in the approved workplan.

Project Justification and Characteristics Section



Justification: N/A  
(Attach additional information above.)



Recommendation: Yes



Research: No



Invention: No



Compliance: Yes



Competitive: No

Explanation: 1. Competition excluded by statute or by Congressional intent

Attachments: (Attach additional information above.)



Earmark: No



**Measurement:** No

**PRCs:** No

**Human Subjects:** No

**Animal Subjects:** No

**Outside US:** No

**Program Income:** No

**Funding Recommendations Attachments**

**Attachments:**

**Project Funding**

1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual Personal Services	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Costs	\$0
10. Indirect Costs	\$0
IDC Rate % Base \$	
11. Total	\$0
12. Total Requested Amount	\$299,562
Total Request To Fund Amount	\$299,562

Full

No

**Programmatic Special Conditions**

**Review**

**Read Access:** Controlled

**Readers:** Veronica Adams

Chris Auriemma

Jinky Callado

Alba Espitia

Linda Ma

Leslie Owyang-Chin

Rachel Loftin

Carolyn Truong

**Submitted:** 05/08/2002

**Approvers:** Vance Fong, David Taylor

**Submitted:** 05/03/2002

**Due Date:** 05/16/2002

Approve with Comments	David Taylor	05/03/2002
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#### Associated Commitment Notices

CA DTSC MSCA	\$299,562	Associated
--------------	-----------	------------

#### Commitment Clerk

<b>Commitment Clerk:</b> Leslie Owyang-Chin
<b>Title:</b> Branch Secretary <b>Phone:</b> 415-972-3001

#### Approval Official Signature

<b>Approval Official:</b> John Kemmerer
<b>Title:</b> Branch Chief - Site Cleanup <b>Phone:</b> 415-972-3131
Branch
<b>Delegate:</b> Leslie Owyang-Chin
<b>Title:</b> Branch Secretary <b>Phone:</b> 415-972-3001

Approval Official Signature: - Signed by Leslie Owyang-Chin/R9/USEPA/US on 05/10/2002 02:10:43 PM, according to /R9/USEPA/US

#### Grant Specialist

<b>Grant Specialist:</b> Carolyn Truong
<b>Title:</b> Grants Management Specialist <b>Phone:</b> 415-972-3757
<b>Submitted:</b> 05/10/2002

#### Origination Information

**Created By:** Leslie Owyang-Chin on 04/11/2002  
**Organization:** EPA R9

**Document Readers****EPA Award Document****Title: CA DTSC MSCA****Document Status**

**Document Phase:** Final  
**Current Editor:** Carolyn Truong  
**Delegate:** Nicholas Sandstrom

**Last Modified:** 03/01/2003  
**Previous Editor:** Fareed Ali

**Application Information****Amount Requested:** \$315,000**Date Received:** 05/08/2002**Date Signed:** 04/30/2002**Award Information****EPA Region:** EPA R9**Grant Number:** 00940408-1**Record Type:** A**GICS Program:** V - Hazardous Substances Response Trust Fund**Upload to GICS:** Yes**Grant Type:** Non-Construction**Agreement Type:** Assistance Amendment**Payment Method:** ACH**ACH#:** 0921**Payment Office:** N/A**Funding Pkg. Date:** 05/10/2002**Mailing Date:** 06/11/2002**Award Date:** 06/04/2002**Acceptance Date:** 07/11/2002**ODN:** V00940408**Recipient Information****Name and Address of Recipient****Applicant Type:** State**Applicant Name:** CDTSC - CA Dept of Toxic Subs Control**Address:** P.O. Box 806**City:** Sacramento**State:** CA**County:** Sacramento**Zip:** 95812**Congressional Dist:** 03**Organizational Unit:****EIN:****NSF Code:****Minority Institution:****Name and Address of Payee****Payee Name:** CA Dept of Toxic Subs Control**Address:** P.O. Box 806**City:** Sacramento**State:** CA**Zip:** 95812**Recipient Point Of Contact****Project Manager:** Sue Simms**Title:****E-Mail:****Phone:** (916) 445-3601**Address:** P.O. Box 806**City:** Sacramento**State:** CA**Zip:** 95812**EPA Contacts**

**Grant Specialist:** Carolyn Truong  
**Office:** Grants Management Office  
**E-Mail:** Truong.Carolyn@epa.gov

**GS ID:** CT  
**Mail Code:** PMD-7  
**Phone:** 415-972-3757

**Project Officer:** Leslie Owyang-Chin

**Title:**

**E-Mail:** Owyang-Chin.Leslie@epa.gov

**Phone:** 415-972-3001

**Address:** 75 Hawthorne Street

**Mail Code:** SFD-7

**City:** San Francisco

**State:** CA

**Zip:** 94105

**Congressional Liason:** Barbara Brooks

**Phone:** 202-564-2783

**AAShip:** R9 - Region 9

**Division/Office:** Superfund Division

**Lab.Office:**

#### Project Information

**CFDA:** 66.802 - Superfund State Site Specific Cooperative Agreements

**Media:** Superfund

**Project Title:** SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA)

**Description:** This amendment increases the EPA funding amount by \$315,000 which includes \$15,438 of unexpended funds from V009404-07-2. The revised total EPA approved amount is \$615,000. All terms and conditions of the initial agreement awarded on June 13, 2001 remain in full force and effect.

#### Explan. of Changes:

##### Areas Affected by Project

**City/Cities:** ALL

**County/Countries:** ALL

**State(s):** CA

**Congressional Dist:** 03

**Budget Period Cost:** \$615,000

**Project Period Cost:** \$615,000

**Project Period Start:** 07/01/2001

**Project Period End:** 06/30/2003

**Budget Period Start:** 07/01/2001

**Budget Period End:** 06/30/2003

**Applicant ID:** N/A

**State ID:** N/A

**Statutory Auth:** CERCLA: Sec. 104

**Regulatory Auth:** 40 CFR PTS 31 & 35 SUBPT O

**Special Tracking**

**Code:**

#### Award Document Attachments

**Attachments:**

#### Fiscal Information

Line	Site Name	DCN	Fiscal Yr	Approp Code	BO Code	PRC	Object Class	Amount	Site Project	Cost Org Code
1	-	H2P056	2002	T	9AK0P	50102D	4185	299,562	0900MS00	C034

299,562

#### Award Amount

Funds	Former Award	This Action	Amended Total
EPA Amount This Action:	\$300,000	\$299,562	\$599,562
EPA In-Kind Amount:	\$0	\$	\$0
Unexpended Prior Yr. Bal:	\$0	\$15,438	\$15,438
Other Federal Funds:	\$0	\$	\$0
Recipient Contribution:	\$0	\$	\$0
State Contribution:	\$0	\$	\$0
Local Contribution:	\$0	\$	\$0
Other Contribution:	\$0	\$	\$0
Allowable Project Cost:	\$300,000	\$315,000	\$615,000

**Approved Budget**

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$243,915
2. Fringe Benefits	\$68,811
3. Travel	\$14,410
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$327,136
10. Indirect Costs: Rate % Base <u>SEE BELOW</u>	\$287,864
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$615,000
12. Total Approved Assistance Amount	\$615,000
13. Program Income	\$0

**Administrative & Programmatic Conditions****EPA Review**

Read Access: Controlled

Readers: Leslie Owyang-Chin

Submitted:

Rachel Loftin

Veronica Adams

Chris Auriemma

Jinky Callado

Alba Espitia

Linda Ma

Carolyn Truong

Vance Fong

David Taylor

John Kemmerer

Anabel Yo-eco

Xavier Zamora

Dennis Shiraki

Fareed Ali

Jerome Ellis

Renee Chan

Melinda Taplin

Approvers:

Submitted:

Due Date:

Response	Approver	Date

Grant Coordinator: Veronica Adams

**EPA Signature**

Award Official: Jane Diamond

Printed Name:

Title: Acting Director - Superfund  
Division

Phone: 415-972-3275

Award Date: 06/04/2002

**Recipient Review**

Read Access: Controlled

Applicant POC: Sue Simms

Title:

Phone: (916) 445-3601

**Recipient Acceptance**

Authorized Rep: Dorothy Rice

Print Name:

Title: Deputy Director

Phone: (916) 323-3576

**Acceptance Date:** 07/11/2002

**Action Code Table**

Entry	Date	Action
P	05/08/2002	1 - Application Received By EPA
P	05/10/2002	3 - Funding Recommendation w/Change Request Received By GMO
P	06/03/2002	AO - Funding Recommendation Submitted To Approval Official
P	06/04/2002	F - Award

**Origination Information**

**Entry Method:** Paper  
**Created By:** Veronica Adams on 05/15/2002  
**Organization:** EPA R9

**Notifications History**



Document Readers



## Commitment Notice

Title: CA DTSC MSCA

Document Status

Document Phase: Final  
Current Editor: Carolyn Truong  
Delegate: Nicholas Sandstrom

Last Modified: 03/01/2003  
Previous Editor: Jinky Callado

Commitment Notice Information

Grant Number: 00940408-1

Commitment Amt: \$299,562

Commitment Type: Original Commitment

Agreement Type: Cooperative Agreement

Applicant Name: CDTSC - CA Dept of Toxic Subs Control

GICS Program:

Employer EIN: 68-0281381

Location Funded: EPA R9

Responsibility Ctr:

Allowance Holder:

Is Contract Negotiator Allowed to exceed amount shown above by up to 10% without securing further approval for.

Allowed to Exceed: No

Description Of Project, Goods, or Services

Description: DTSC Multi-Site Cooperative Agreement (Year 2002 - 2003)

Task, Roap, or Other Local Identifier:

Identifier:

Special Comments and Instructions:

Comments:

Commitment Notice Document Attachments

Attachments:

Edit NSI Financial Transaction			
Action Name:	MULTI-SITE COOP AGREEMENT (Seq=34)		History: <input checked="" type="checkbox"/>
Financial Type:	Planned Obligation	DCN:	
Fin Seq:	2	NSI Type:	00 NSI Only <input checked="" type="checkbox"/>
\$ Amount:	299,562	BFY:	2002
Date:	06/30/2002	FYQ:	20023
Fin Comment:	CA MSCA - OWYANG		Appropriation:
# Sites:	0	Budget Org:	SAK0P
WAIAC:		PRC:	50102D
WA Amend:		Object Class:	
Budget Source:	Pipeline Operations <input checked="" type="checkbox"/>	Site Project:	0900 MS00
Funding Status:	Approved <input checked="" type="checkbox"/>	Cost Org:	C034
IMEBC Signoff:	05/02/2002	Contract #/ODR:	
Vehicle:	CAG <input checked="" type="checkbox"/>	IFMS Flag:	C
	PO Signoff:		00/00/0000
	Contractor:		<input checked="" type="checkbox"/>
		Delete	OK
		Cancel	

## Fiscal Information

1 | - | H2P056 | 2002 | T | 9AK0P | 50102D | 4189 | 299,562 | 0900MS00 | C034 |  
299,562

#### Review

**Read Access:** Controlled

**Readers:** Veronica Adams, Chris Auriemma,  
Jinky Callado, Alba Espitia, Leslie  
Owyang-Chin, Anabel Yo-eco,  
Xavier Zamora, Linda Ma

**Submitted:** 04/29/2002

**Approvers:** John Kemmerer

**Submitted:** 05/08/2002

**Due Date:** 05/15/2002

Response	Approver	Date

#### Fund Certifying Official Signature

**Fund Certif Official:** Jinky Callado

**Title:** Administrative Officer

**Phone:** 415-972-3229

**Delegate:** Dennis Shiraki

**Title:** Budget Analyst

**Phone:** 415-972-3681

**Fund Certifying Official Signature:** - Signed by Jinky Callado/R9/USEPA/US on 05/08/2002 09:49:31 AM, according to /USEPA/US

#### Project Officer

**Project Officer:** Leslie Owyang-Chin

**PO Phone:** 415-972-3001

#### Origination Information

**Created By:** Leslie Owyang-Chin on 05/28/2002  
**Organization:** EPA R9

#### Notifications History

**Document Readers****Commitment Notice****Title: CA DTSC MSCA****Document Status**

Document Phase: Final  
Current Editor: Carolyn Truong  
Delegate: Eleonor Claros

Last Modified: 12/15/2006  
Previous Editor: Jinky Callado

**Commitment Notice Information**

Program Code: V - Hazardous Substances Response Trust Fund

Grant Number: 00940408-1

Approved Ceiling: \$

Commitment Amt: \$299,562

Commitment Type: Original Commitment

Agreement Type: Cooperative Agreement

Applicant Name: CDTSC - CA Dept of Toxic Subs Control

DUNS: 949010870

Employer EIN: 68-0281381

Awarding Region: EPA R9

Responsibility Ctr:

Allowance Holder:

Is Contract Negotiator Allowed to exceed amount shown above by up to 10% without securing further approval for:

Allowed to Exceed: No

**Description Of Project, Goods, or Services**

Description: DTSC Multi-Site Cooperative Agreement (Year 2002 - 2003)

**Task, Roap, or Other Local Identifier:**

Identifier:

**Special Comments and Instructions:**

Comments:

**Commitment Notice Document Attachments****Fiscal Information**

VendorCode:  
Service Finance  
Office:  
Accounting Period:  
IFMS Status: CN was submitted prior to IFMS integration.

Line	Site Name	Req No	Fiscal Yr	Approp Code	BO Code	PRC	Object Class	Amount	Site Project	Cost Org Code
1		H2P056	2002	T	9AK0P	50102D	.4189	299,562	0900MS00	CG34
								299,562		

**Review**

Read Access: Controlled  
Readers: Veronica Adams  
Chris Auriemma  
Jinky Callado  
Alba Espitia  
Leslie Owyang-Chin  
Anabel Yo-eco  
Xavier Zamora

Submitted: 04/29/2002

Linda Ma  
Approving Region  
Readers:

Approvers: John Kemmerer

Submitted: 05/08/2002

Due Date: 05/15/2002

Response	Approver	Date

**Fund Certifying Official Signature**

Fund Certif Official: Jinky Callado

Title: Administrative Officer

Phone: 415-972-3229

Delegate: Dennis Shiraki

Title: Budget Analyst

Phone: 415-972-3681

Fund Certifying Official Signature: - Signed by Jinky Callado/R9/USEPA/US on 05/08/2002 09:49:31 AM, according to /USEPA/US

**Project Officer**

Approving Region:

Project Officer: Leslie Owyang-Chin

PO Phone: 415-972-3001

**Grant Specialist**

Grant Specialist:

**Origination Information**

**Notifications History**

## **AMENDMENT 2**

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Assistance Amendment</b>		ASSISTANCE ID NO.		DATE OF AWARD	
			PRG	DOC ID		AMEND#
			V - 00940408 - 2		JUL 07 2004	
			TYPE OF ACTION Decrease		MAILING DATE JUL 14 2004	
PAYMENT METHOD: ASAP		ACH#				
RECIPIENT TYPE: State		Send Payment Request to: N/A				
RECIPIENT:		PAYEE:				
CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812 EIN: 68-0281381		CA Dept of Toxic Subs Control P.O. Box 806 Sacramento, CA 95812				
PROJECT MANAGER		EPA PROJECT OFFICER		EPA GRANT SPECIALIST		
Sue Simms P.O. Box 806 Sacramento, CA 95812 E-Mail: Phone: (916) 445-3601		Leslie Owyang-Chin 75 Hawthorne Street, SFD-7 San Francisco, CA 94105 E-Mail: Owyang-Chin.Leslie@epa.gov Phone: 415-972-3001		Carolyn Truong Grants Management Office, PMD-7 E-Mail: Truong.Carolyn@epamail.epa.gov Phone: 415-972-3757		
<b>PROJECT TITLE AND EXPLANATION OF CHANGES</b> SUPERFUND MULTI-SITE SUPPORT AGENCY COOPERATIVE AGREEMENT (MSCA)  This amendment decreases the EPA funding amount by \$34,810 from \$615,000 to \$580,190. The unexpended amount of \$34,810 has been carried over to V009404-09-1 in accordance with your final FSR dated December 16, 2003. All terms and conditions of previous agreements remain in full force and effect.						
BUDGET PERIOD 07/01/2001 - 06/30/2003		PROJECT PERIOD 07/01/2001 - 06/30/2003		TOTAL PROJECT PERIOD COST \$580,190.00		
				TOTAL BUDGET PERIOD COST \$580,190.00		
<b>NOTE:</b> The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
<b>OFFER AND ACCEPTANCE</b>						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>CA Dept of Toxic Subs Control</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$580,190</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>04/30/2002</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS			ORGANIZATION / ADDRESS			
U.S. EPA, Region 9 Grants Management Office, PMD-7 75 Hawthorne Street San Francisco, CA 94105			U.S. EPA, Region 9 Superfund Division 75 Hawthorne Street San Francisco, CA 94105			
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>						
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Keith Takata, Director - Superfund Division		DATE JUL 07 2004		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
<b>BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION</b>						
SIGNATURE		TYPED NAME AND TITLE NO SIGNATURE REQUIRED		DATE		

V - 00940408 - 2 Page 2

615

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
-	H7X029	97	T	09K	GBX	4185	09X3MS01	C001	-256
-	H2P056	02	T	9AK0P	50102D	4185	0900MS00	C034	-34,554
									-34,810



**CLOSEOUT CERTIFICATION w/INTERIM & FINAL  
FINANCIAL STATUS REPORTS, RECORD OF  
DRAWS & GRANT BALANCE, ACCUMULATED  
EXPENDITURES, DRAWS & RQN TOTALS**



Leslie Owyang-Chin

01/27/2004 11:42 AM

To: Carolyn Truong/R9/USEPA/US@EPA  
cc: Elizabeth Adams/R9/USEPA/US@EPA  
Subject: Close-out of Grant #V-009404-09-0

08

08

The work performed on CA DTSC MSCA (V-009404-09-0), has been satisfactorily accomplished. In general, the State has completed all deliverables as stated on the workplan and the year end report to the best of their ability. Some work was not performed due to project schedule delay. Delays were minimal and schedule changes were acceptable to EPA Project Managers. The State's Quarterly reports have been reviewed by myself and the Remedial Project Managers, the State have satisfied the deliverables. Overall, there have been no major complaints from the Remedial Project Managers on the technical work performed by the State Project Managers. I will continue to encourage the Remedial Project Managers to monitor the State's progress and will review the quarterly reports.

08

Please consider grant #V-009404-09-0 to be closed out. As always, call me should you have any questions. Thank you.



CLOSECERTV00940408.wpd

*This has already been reviewed  
1/27/2004*

Leslie Owyang-Chin  
SFD-7  
Phone 415/972-3001  
FAX 415/947-3520



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IX  
75 Hawthorne Street  
San Francisco, CA 94105

**MEMORANDUM**

DATE: January 8, 2004

SUBJECT: Grant File Closeout Certification

FROM: Carolyn Truong, Grants Specialist, PMD-7

TO: Leslie Owyang-Chin, Project Officer, SFD-7

GRANT NUMBER: V-009404-08

RECIPIENT: CA DTSC

PROGRAM: Superfund MSCA

BUDGET/PROJECT PERIOD: 07/01/01-06/30/03

UNOBLIGATED BALANCE: \$34,810

We have received the Final FSR for this grant and are taking action to initiate its final close-out. The procedure requires your review and acceptance of the **FSR** which has been forwarded to you, completion of this **CLOSE-OUT CERTIFICATION**, and a copy of the **END-OF-YEAR-EVALUATION**, as applicable, for the Official Grant File.

**Please complete this memorandum and EMAIL it to me as a WordPerfect attachment, no later than January 22, 2004 . If you have any questions, please contact me at 2-3757. Thank you for your cooperation in this matter.**

cc: PO's Supervisor, \_\_\_\_\_ (2nd notice only will be sent to PO's supervisor if no response to GMO is received by the above date, as recommended by The Grants Advisory Group)

**PLEASE COMPLETE THE FOLLOWING**

## GRANT FILE CLOSEOUT CERTIFICATION

### INSTRUCTIONS:

- ====> PLEASE REVIEW THE FINAL FSR
- ====> CHECK ONE OPTION UNDER EACH NUMBER
- ====> DATE AND EMAIL ENTIRE FORM TO GRANTS SPECIALIST

1. ☐ Deobligate unobligated balance of \$ {            }.
- ☐ Unobligated balance will be carried over to Current Year Award #        }. The unobligated balance will be used to fund an existing application/workplan from the recipient dated .
- ☒ Unobligated balance will be carried over to Current Year Award # {00940409-0 }.
- I have asked the recipient to submit a workplan/application for these funds which is due to EPA by {March, 2004}.
- ☐ Other:
- ☐ N/A - No Unobligated balance.
2. ☒ All programmatic conditions listed in grant award **have** been met, as applicable.
- ☐ All programmatic conditions **have not** been met. The following actions will be taken:
3. ☒ Workplan requirements **have** been met and close-out should proceed. Date of Acceptance: {01/29/2004}
- ☐ Workplan requirements **have not** been met. Close-out may not proceed until (explain what is needed):
4. ☒ End-of-Year Evaluation (sent copy to GMO on {01/29/2004}).
- ☐ Other (please explain):

## GRANT FILE CLOSEOUT CERTIFICATION

### INSTRUCTIONS:

- ==> PLEASE REVIEW THE FINAL FSR
- ==> CHECK ONE OPTION UNDER EACH NUMBER
- ==> DATE AND EMAIL ENTIRE FORM TO GRANTS SPECIALIST

1. ☐ Deobligate unobligated balance of \$ {        }.
- ☐ Unobligated balance will be carried over to Current Year Award #        }. The unobligated balance will be used to fund an existing application/workplan from the recipient dated .
- ☒ Unobligated balance will be carried over to Current Year Award # {00940409-0 }.
- I have asked the recipient to submit a workplan/application for these funds which is due to EPA by { March, 2004 }.
- ☐ Other:
- ☐ N/A - No Unobligated balance.
2. ☒ All programmatic conditions listed in grant award **have** been met, as applicable.
- ☐ All programmatic conditions **have not** been met. The following actions will be taken:
3. ☒ Workplan requirements **have** been met and close-out should proceed. Date of Acceptance: {01/29/2004}
- ☐ Workplan requirements **have not** been met. Close-out may not proceed until (explain what is needed):
4. ☒ End-of-Year Evaluation (sent copy to GMO on {01/29/2004}).
- ☐ Other (please explain):



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IX  
75 Hawthorne Street  
San Francisco, CA 94105

**MEMORANDUM**

DATE: January 8, 2004

SUBJECT: Grant File Closeout Certification

FROM: Carolyn Truong, Grants Specialist, PMD-7

TO: Leslie Owyang-Chin, Project Officer, SFD-7

GRANT NUMBER: V-009404-08

RECIPIENT: CA DTSC

PROGRAM: Superfund MSCA

BUDGET/PROJECT PERIOD: 07/01/01-06/30/03

UNOBLIGATED BALANCE: \$34,810

We have received the Final FSR for this grant and are taking action to initiate its final close-out. The procedure requires your review and acceptance of the **FSR** which has been forwarded to you, completion of this **CLOSE-OUT CERTIFICATION**, and a copy of the **END-OF-YEAR-EVALUATION**, as applicable, for the Official Grant File.

**Please complete this memorandum and EMAIL it to me as a WordPerfect attachment, no later than January 22, 2004 . If you have any questions, please contact me at 2-3757. Thank you for your cooperation in this matter.**

cc: PO's Supervisor, \_\_\_\_\_ (2nd notice only will be sent to PO's supervisor if no response to GMO is received by the above date, as recommended by The Grants Advisory Group)

**PLEASE COMPLETE THE FOLLOWING**



Donna  
Shirey-Anderson  
<DShirey@dtsc.ca.gov>  
>

To: Jere Johnson/R9/USEPA/US@EPA, Leslie  
Owyang-Chin/R9/USEPA/US@EPA  
cc:  
Subject: Fwd: MSCA & PA/SI FSR's mailed today

09/23/2002 04:11 PM

Look what was sent to Carolyn. Just FYI

----- Message from "Martin Rodriguez" <MRodrig1@dtsc.ca.gov> on Mon, 23 Sep 2002 15:40:10 -0700

-----

cc: "Carol O'Bryant" <CObryant@dtsc.ca.gov>, "Donna Shirey-Anderson" <DShirey@dtsc.ca.gov>,  
"Karen Poon" <KPoong@dtsc.ca.gov>

**Subject** MSCA & PA/SI FSR's mailed today

Hi Carolyn,

My name is Martin Rodriguez and I took over the desk of Debra Erickson in the Federal Reporting Unit at the Department of Toxic Substances Control.

Therefore, I'm sending you this note to let you know that I just mailed out the interim Financial Status Report for the MSCA (Grant # V-00940408-0) and the PA/SI ( Grant # V-99925203-1) which are due on September 30, 2002.

Also, I'm working on the CORE interim FSR which I expect to finish it not later this week. So, I'll be mailing it to you as soon as get it done.

So, if you need a copy to be faxed before you receive the original, please let me know. Thank You!!

Martin Rodriguez,  
Dept. of Toxic Substances Control  
Accounting Unit  
(916) 327-1188



## Department of Toxic Substances Control



Winston H. Hickox  
Agency Secretary  
California Environmental  
Protection Agency

Edwin F. Lowry, Director  
1001 "I" Street, 25th Floor  
P.O. Box 806  
Sacramento, California 95812-0806

Gray Davis  
Governor

September 23, 2002

Ms. Carolyn Truong, EPA Grant Specialist  
U.S. EPA Region 9  
Grants Management office, PMD-7  
75 Hawthorn Street  
San Francisco, California 94105-3901

Dear Ms. Truong:

Enclosed is the interim Financial Status Report ( FSR ) for the Superfund Multi-Site Support Agency Cooperative Agreement ( MSCA ) # V - 00940408 - 0 for the period of July 1, 2001 through June 30, 2002.

If you have any questions, please contact Martin Rodriguez at (916) 427-1188.

Sincerely,

Karen Poon, Chief  
Accounting Unit

cc: See next page

*The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our Web-site at [www.dtsc.ca.gov](http://www.dtsc.ca.gov).*



Carolyn Truong  
September 23, 2002  
Page 2

cc: Mr. Donald Loi  
U.S. Environmental Protection Agency  
Grant Accounting ( P4-2-4 )  
75 Hawthorne Street  
San Francisco, CA 94105-3901

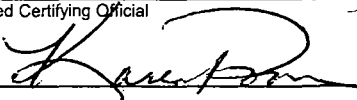
Ms. Jere Johnson, EPA Project Officer  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105-3901

Ms. Carol O'Bryant - Chief  
Site Mitigation-Grants Administration Unit  
P.O. Box 806  
Sacramento, CA 95812-0806

Ms. Vicki Vandergriff - Chief  
Budgets and Fiscal Systems  
P.O. Box 806  
Sacramento, CA 95812-0806

Ms. Donna Shirey-Anderson  
Site Mitigation-Grants Administration Unit  
P.O. Box 806  
Sacramento, CA 95812-0806

Mr. Martin Rodriguez  
Accounting Unit  
P.O. Box 806  
Sacramento, CA 95812-0806

FINANCIAL STATUS REPORT (Short Form) (Follow instructions on the back)				
1. Federal Agency and Organizational Element to Which Report is Submitted  ENVIRONMENTAL PROTECTION AGENCY	2. Federal Grant or Other Identifying Number Assigned By Federal Agency  V - 00940408 - 0	OMB Approval No. 0348-0039	Page 1	of 1 pages
3. Recipient Organization (Name and complete address, including ZIP Code) California State Department of Toxic Substances Control PO Box 806 Sacramento, Calif 95812-0806				
4. Employer Identification Number 68-0281381		5. Recipient Account or Identifying Number MSCA 01		<div style="text-align: right; font-size: small;">Interim Final: no final indirect rate established</div> 6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
8. Funding/Grant Period (See instructions) From: (Month, Day, Year) 07/01/01		9. Period Covered by this Report From: (Month, Day, Year) 07/01/01		7. Basis <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
10. Transactions:		I Previously Reported	II This Period	III Cumulative
a. Total outlays			300,000.00	300,000.00
b. Recipient share of outlays			0.00	0.00
c. Federal share of outlays			300,000.00	300,000.00
d. Total unliquidated obligations			0.00	0.00
e. Recipient share of unliquidated obligations			0.00	0.00
f. Federal share of unliquidated obligations			0.00	0.00
g. Total Federal share (Sum of lines c and f)			300,000.00	300,000.00
h. Total Federal funds authorized for this funding period			300,000.00	300,000.00
i. Expenditures in excess of grant award (Line h minus line g)			0.00	0.00
11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) <input type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input checked="" type="checkbox"/> Final <input type="checkbox"/> Fixed			
	b. Rate  01/02 @ 100%	c. Base  147,041.52	d. Total Amount  147,041.52	e. Federal Share  147,041.52
12. Remarks: Attach any explanations deemed necessary or informations required by Federal sponsoring agency in compliance with governing legislation.				
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.				
Typed or Printed Name and Title  KAREN POON, Accounting Administrator		Telephone (Area code, number and extension)  (916) 324-6432		
Signature of Authorized Certifying Official  		Date Report Submitted  9/23/02		
<div style="display: flex; justify-content: space-between;"> <span>Previous Editions not Usable</span> <span>Standard Form 269A (REV 4-88) Prescribed by OMB Circulars A-102 and A-110</span> </div>				



Terry Tamminen  
Agency Secretary  
Cal/EPA



## Department of Toxic Substances Control

Edwin F. Lowry, Director  
1001 "I" Street, 25<sup>th</sup> Floor  
P.O. Box 806  
Sacramento, California 95812-0806



Arnold  
Schwarzenegger  
Governor

December 16, 2003

Ms. Carolyn Truong, EPA Grant Specialist  
U.S. EPA Region 9  
Grants Management Office, PMD-7  
75 Hawthorne Street  
San Francisco, California 94105-3901

Dear Ms. Truong,

Enclosed is the Financial Status Report (FSR) for the **Superfund Multi-Site Cooperative Agreement "MSCA" V00940408-1**. This report covers the project and reporting period July 1, 2001 through June 30, 2003 and is the Final FSR for the grant. The report was due on September 30, 2003 and you authorized an extension for us to submit it on October 30, 2003, however, due to staff shortage and matters regarding other EPA grants, we were not able to complete the report until now.

If you have any questions concerning this FSR, please contact Debra Erickson at (916) 445-2542.

Sincerely,

Karen Poon, Chief  
Accounting Unit

cc: See next page

Carolyn Truong  
December 16, 2003  
Page 2

cc. Ms. Marie Ortesi  
U.S. Environmental Protection Agency  
Grant Accounting (P4-2-4)  
75 Hawthorne Street  
San Francisco, CA 94105-3901

Ms. Jere Johnson, EPA Project Officer  
U.S. Environmental Protection Agency  
75 Hawthorne Street  
San Francisco, CA 94105-3901

Ms. Carol O'Bryant, Chief  
Site Mitigation- Grants Administration Unit  
Department of Toxic Substances Control  
PO Box 806  
Sacramento, CA 95812-0806

Ms. Donna Shirey-Anderson, Grant Analyst  
Site Mitigation- Grants Administration Unit  
Department of Toxic Substances Control  
PO Box 806  
Sacramento, CA 95812-0806

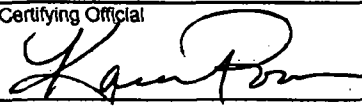
Ms. Vicki Vandergriff, Chief  
Budgets & Fiscal Systems  
Department of Toxic Substances Control  
PO Box 806  
Sacramento, CA 95812-0806

Ms. Debra Erickson  
Accounting-Federal Reporting  
Department of Toxic Substances Control  
PO Box 806  
Sacramento, CA 95812-0806

# FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted  ENVIRONMENTAL PROTECTION AGENCY		2. Federal Grant or Other Identifying Number Assigned By Federal Agency  V00940408-1		OMB Approval No. 0348-0039	Page 1	of 1 pages
3. Recipient Organization (Name and complete address, including ZIP Code) California State Department of Toxic Substances Control PO Box 806 Sacramento, Calif 95812-0806						
4. Employer Identification Number 68-0281381		5. Recipient Account or Identifying Number MSCA "01" Multi-Site Grant		6. Final Report <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		7. Basis <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
8. Funding/Grant Period (See instructions) From: (Month, Day, Year) 07/01/01		To: (Month, Day, Year) 06/30/03		9. Period Covered by this Report From: (Month, Day, Year) 07/01/01		To: (Month, Day, Year) 06/30/03
10. Transactions:				I Previously Reported	II This Period	III Cumulative
a. Total outlays				300,000.00	280,189.68	580,189.68
b. Recipient share of outlays				0.00	0.00	0.00
c. Federal share of outlays				300,000.00	280,189.68	580,189.68
d. Total unliquidated obligations					0.00	0.00
e. Recipient share of unliquidated obligations						0.00
f. Federal share of unliquidated obligations					0.00	0.00
g. Total Federal share (Sum of lines c and f)						580,189.68
h. Total Federal funds authorized for this funding period						615,000.00
i. Unobligated balance of grant award (Line h minus line g)						34,810.32
11. Indirect Expense						
a. Type of Rate (Place "X" in appropriate box) <div style="display: flex; justify-content: space-between;"> <span><input type="checkbox"/> Provisional</span> <span><input type="checkbox"/> Predetermined</span> <span><input type="checkbox"/> Final</span> <span><input type="checkbox"/> Fixed</span> </div>						
b. Rate		c. Base		d. Total Amount		e. Federal Share
FY 01/02 100%		147,041.52		147,041.52		147,041.52
FY 02/03 105%		134,974.94		141,723.70		141,723.70
Totals		282,016.46		288,765.22		288,765.22
12. Remarks: Attach any explanations deemed necessary or informations required by Federal sponsoring agency in compliance with governing legislation.						
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.						
Typed or Printed Name and Title  KAREN POON, Accounting Administrator				Telephone (Area code, number and extension)  (916) 324-8432		
Signature of Authorized Certifying Official  				Date Report Submitted  12/16/03		
Previous Editions not Usable				Standard Form 269A (REV 4-88) Prescribed by OMB Circulars A-102 and A-110		

# US EPA GRANT RECORD OF DRAWS & GRANT BALANCE

<b>Grant Project Title:</b>	<b>MULTI-SITE (MSCA) 2001 - 2003</b>	<b>DTSC Project #</b> various (see note)
<b>Assistance ID #:</b>	<b>V00940408</b>	<b>Work Phase #</b> 62
<b>Project / Budget Period:</b>	<b>07/01/2001 - 06/30/2003</b>	Note: site specific- see attached list

\*Note: some awards are issued in installments

DATE	TRANSACTION DESCRIPTION	RQN # Payment Request	AUTHORITY Grant Funds AWARDED	Fiscal Year of Costs Drawn			TOTAL DRAWS All Fiscal Yrs	AWARDS & CURRENT GRANT BALANCE
				FY 01/02 Draw	FY 02/03 Draw	FY 03/04 Draw		
Balances Carried Forward From Previous Pages			0.00	0.00	0.00	0.00	0.00	
	*1st installment or Initial Award		300,000.00					300,000.00
	*2nd installment or Amend#		0.00					0.00
1	July '01	236		21,709.66			21,709.66	
2	August '01	239		38,759.86			38,759.86	
3	September '01	240		23,264.97			23,264.97	
4	Oct/Nov '01 (combined)	241		49,145.20			49,145.20	
5	-----						0.00	
6	December '01	244		21,450.74			21,450.74	
7	January 2002	246		27,040.47			27,040.47	
8	4/22/02 February	247		62,199.97			62,199.97	
9							0.00	
10							0.00	
11							0.00	
12							0.00	
FM 1 - 12 Fiscal Year Subtotals			300,000.00	243,570.87	0.00	0.00	243,570.87	56,429.13
	* 3rd installment or Amend#		0.00					0.00
	* 2nd installment or Amend#		0.00					0.00
1							0.00	
2							0.00	
3							0.00	
4							0.00	
5							0.00	
6							0.00	
7							0.00	
8							0.00	
9							0.00	
10							0.00	
11							0.00	
12							0.00	
FM 1 - 12 Fiscal Year Subtotals			0.00	0.00	0.00	0.00	0.00	56,429.13
	Amendment #		0.00					0.00
	Amendment #		0.00					0.00
1							0.00	
2							0.00	
3							0.00	
4							0.00	
5							0.00	
6							0.00	
7							0.00	
8							0.00	
9							0.00	
10							0.00	
11							0.00	
12							0.00	
FM 1 - 12 Fiscal Year Subtotals			0.00	0.00	0.00	0.00	0.00	56,429.13
ACCUMULATED PAGE TOTALS			300,000.00	243,570.87	0.00	0.00	243,570.87	56,429.13

*SUPPORTING DOCUMENTS : MONTHLY Q18 / MONARCH EXCEL GRANT REPORTS*

**323-2962**

M DRIVE: 0890 GRANTS Q18 DRAW SUMMARIES

## **EPA GUIDANCE LETTERS**





UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IX  
75 Hawthorne Street  
San Francisco, CA 94105

July 30, 2001

Ms. Dorothy Rice  
Deputy Director  
Site Mitigation Program  
Department of Toxic Substances Control  
1001 "I" Street, 25<sup>th</sup> Floor  
P.O. Box 806  
Sacramento, CA 95812-0806

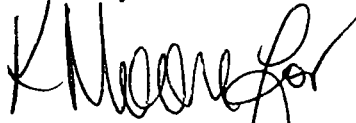
Dear Ms. Rice:

Under federal grant regulations and policies, EPA is responsible for reviewing the progress of cooperative agreements periodically to ensure that they meet the agreement's original goals and objectives, and complies with all relevant federal and Agency requirements. While our staffs meet at least three times a year to review the status of your cooperative agreements, EPA has not routinely conducted annual cooperative agreement progress reviews resulting in a written report. Starting in 2001, we will be conducting annual, written evaluations with our cooperative agreement recipients using the enclosed review protocol.

Rachel Loftin will be contacting your staff in October 2001 to schedule an on-site evaluation. Our goal is that we jointly review progress of work under the cooperative agreements, and discuss issues or problems which may have arisen during the past year. We encourage DTSC to prepare a written self assessment as part of your annual report prior to our visit so that we may incorporate your input into the on-site review discussions and written evaluation. You may wish to use the enclosed review protocol for your self assessment. Next year, we would like to include the self assessment as a formal deliverable in your cooperative agreements.

Your staff may wish to contact Rachel Loftin at (415) 744-2232 or Jere Johnson (recently assigned DTSC Project Officer) at 415 744-2345 to discuss the review process. We appreciate your Department's involvement in this review, and hope you find it beneficial.

Sincerely,

  
Keith Takata, Director  
Superfund Division

Enclosure

Superfund State & Tribal Cooperative Agreement  
EPA Region 9 Review Protocol  
FY 2001

1) Table of Deliverables

- List of deliverables & tasks
- Work plan schedule of deliverables
- Status of deliverables
- Comments

2) Narrative Discussion: Programmatic

- Overall Program Highlights
- Major Accomplishments
- Significant Issues
- Problems Encountered
- Suggestions for EPA (State/Tribe only)

3) Narrative Discussion: Fiscal Management

a) Budget & Expenditures

- explanation of cost overruns and/or under-expended budget
- explanation of any match issues
- description of "informal" amendments (transfer of funds not already covered by a formal grant amendment)

b) Equipment Category

- list of new equipment purchases

c) Contracts Budget Category

- list of contracts awarded
- list of IAGs negotiated
- list of IPA finalized



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IX  
75 Hawthorne Street  
San Francisco, CA 94105

February 12, 2002

Dorothy Rice  
Deputy Director  
Site Mitigation Program  
Department of Toxic Substances Control  
1001 "I" Street  
P.O. Box 806  
Sacramento, CA 95812-0806

Dear Ms. Rice:

The purpose of this letter is to provide you budget guidance for DTSC's Superfund Cooperative Agreement applications and work plans for 2002. This year, EPA's funding for Superfund is significantly less than in prior years. In addition to cutting our regional budget for NPL site work, we are asking the States and Tribes in Region 9 to cut their funding request for PA/SI, Core and MSCA (NPL site support funding). Fortunately, the Brownfields budget is stable and we expect to maintain or increase the funding level for Voluntary Cleanup Program and Targeted Brownfields Assessments. Brownfields funding for FY 2003 is likely to increase based on the recently enacted Small Business Liability Relief and Brownfields Revitalization Act.

Region 9 has set annual funding target levels for State and tribal Superfund grants. Last year, DTSC received approximately \$1,725,000 for July 1, 2001 through June 30, 2002 as follows:

Core Program	Voluntary Cleanup Program	PA/SI	Targeted Brownfields Assessment	MSCA (NPL Site Support)	TOTAL
\$500,000	\$300,000	\$600,000	\$ 0 <sup>1</sup>	\$325,000	\$1,725,000

Region 9 is revising DTSC's targeted funding levels for July 1, 2002 through June 30, 2003 as follows:

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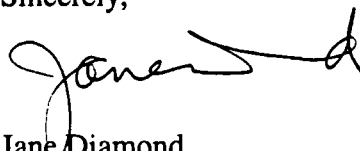
<sup>1</sup> EPA provided \$182,900 for Targeted Brownfields Assessments in 2000 for a two-year project period.

Core Program	Voluntary Cleanup Program	PA/SI	Targeted Brownfields Assessment	MSCA (NPL Site Support)	TOTAL
\$450,000	\$300,000	\$540,000	\$100,000	\$315,000	\$1,705,000

We realize these cuts may cause some disruption to your internal budgeting. DTSC may want to propose some funding shifts between Core, PA/SI and MSCA. We are willing to work with your staff to make such adjustments.

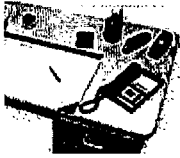
Jere Johnson will be contacting your staff in the next several weeks to schedule grant negotiations. In the meantime, please feel free to call me if you would like to discuss this matter, or your staff may call Jere at 415-972-3094.

Sincerely,



Jane Diamond  
Acting Director  
Superfund Division

cc: Lach McClenahan  
Barbara Coler  
Hamid Saebfar  
Caren Trgovich



Leslie Owyang-Chin

11/04/2003 03:52 PM

To: Donna Shirey-Anderson <DShirey@dtsc.ca.gov>

cc:

cc:

Subject: Reminder on procedures on basic closeout requirements for grants and cooperative agreements

Hi Donna,

This e-mail is sent as a reminder of the basic close out requirements for grants and cooperative Agreements. Your cooperative agreement with the U.S. Environmental Protection Agency (USEPA), California DTSC MSCA Grant V-00940408-1., expired on June 30, 2003 and the Site Cleanup Branch of the Superfund Division would like to inform you of your responsibilities in the grant closeout process. Closeout is the mechanism by which USEPA determines that all work, both technical and administrative, required under the grant has been completed to the satisfaction of USEPA and California DTSC, the recipient. USEPA is required by the regulations to proceed with this policy (please refer to 40 CFR Part 31, Subpart D, "After-The Grant Requirements"). The procedures may be somewhat lengthy, but it is necessary to insure adequate protection for California Department Toxic Substances Control and the federal government, should an audit occur.

1. Complete all work satisfactorily and timely as specified in the assistance agreement.
2. Submit the following required reports to EPA within 90 days after the project completion.
  - Final Technical Report
  - Final Financial Status Report (Standard Form 269 or 269A)
  - Federally Owned Property Report, if applicable
  - Invention Disclosure Report (EPA Form 3340-3), if applicable
  - Final Request for Payment (Standard Form 270), if applicable
  - Final EPA ACH Payment Request, if applicable
  - Outlay Report and Request for Reimbursement (Standard Form 271), if applicable
  - Final Minority Business Enterprise/Women Business Enterprise Report (Standard Form 334), if applicable
3. Submit a written request to EPA's award official to request an extension of time to the 90 day period required for  
submittal of the FSR (or other required reports), along with a justification for the extension.
4. Submit all manuals, plans, specifications, data, or other deliverables as required in the assistance agreement to  
EPA, or make the deliverables available for EPA inspection.

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